

Kaon physics strikes back

Seminar, December 7th, University of Geneva, Geneva, Switzerland Speaker: Radoslav Marchevski





Outline

- Why are kaon decays important?
- **How** to measure the ultra rare $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ process with NA62?
- What is the future of experimental kaon physics at CERN?
 - The High Intensity Kaon Experiment (HIKE) proposal
 - Ides for the far future ($K \rightarrow \mu^+ \mu^-$ interference)

Why are kaon decays important?

Constraining the Unitarity Triangle with kaons

- Overconstraining the UT with kaons is a crucial compatibility test of the SM
- Rare kaon processes can reach unprecedented mass scales, far beyond the reach of LHC
- Measuring all charged and neutral kaon decay modes can give clear insights into the flavour structure of NP



The K $\rightarrow \pi \nu \bar{\nu}$ decay in the SM



- $s \rightarrow d$ quark transition: *loop* + *CKM suppression, very rare in the SM*
- Decay amplitude dominated by short-distance (SD) physics: theoretically clean
- Hadronic matrix element measured with $K^{\pm} \rightarrow \pi^0 l^{\pm} \nu_l$ decays: *sub-% precision*
- Latest SM predictions [arXiv:2105.02868]:
 - $BR_{SM}(K^+ \to \pi^+ \nu \bar{\nu}) = (7.73 \pm 0.16_{SD} \pm 0.25_{LD} \pm 0.54_{param.}) \times 10^{-11}$
 - $BR_{SM}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (2.59 \pm 0.06_{SD} \pm 0.02_{LD} \pm 0.28_{param.}) \times 10^{-11}$

Testing the SM with FCNC: $|V_{cb}|$ and γ

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$$K^+ \to \pi^+ \nu \overline{\nu} : |V_{cb}| = 42.6 \times 10^{-3} \left[\frac{\sin(64.6\circ)}{\sin(\gamma)} \right]^{0.491} \left[\frac{BR}{(8.59 \pm 0.30) \times 10^{-11}} \right]^{0.357}$$

•
$$K_L \to \pi^0 \nu \bar{\nu} : |V_{cb}| = 42.6 \times 10^{-3} \left[\frac{\sin(64.6^\circ)}{\sin(\gamma)} \right]^{0.491} \left[\frac{BR(K_L \to \pi^0 \nu \bar{\nu})}{(2.93 \pm 0.04) \times 10^{-11}} \right]^{0.23}$$

Correlations between B and K observables can test the consistency of the CKM picture



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Testing the SM with FCNC: LFUV

- Global fits to rare kaon processes can provide further tests of LFU (LH quark currents only)
- Bounds from individual observables (coloured regions 68% CL, dashed lines 90% upper limits)
- <u>Projection A:</u> upper bounds projected to SM value, measured quantities retain the observed central value
- <u>Projection B:</u> central values for all observables projected to best-fit points with existing data



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Testing the SM with FCNC: BSM models

Simplified models [Buras et. al JHEP 1511 (2015) 166]



LFU violation [Isidori et. al Eur. Phys. J. C (2017) 77: 618]



How to measure the ultra rare $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ process with NA62?

The NA62 experiment @ CERN

The CERN accelerator complex Complexe des accélérateurs du CERN



LHC - Large Hadron Collider // SPS - Super Proton Synchrotron // PS - Proton Synchrotron // AD - Antiproton Decelerator // CLEAR - CERN Linear Electron Accelerator for Research // AWAKE - Advanced WAKefield Experiment // ISOLDE - Isotope Separator OnLine // REX/HIE - Radioactive EXperiment/High Intensity and Energy ISOLDE // LEIR - Low Energy Ion Ring // LINAC - LINear ACcelerator // n_TOF - Neutrons Time Of Flight // HiRadMat - High-Radiation to Materials

- Fixed-target experiment at the CERN SPS
- NA62 Run 1 (2016-18) data-taking completed
- NA62 Run 2 (2021+) ongoing
- Main target: $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ decay measurement
 - Broad physics program:

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- Other rare charged kaon decays
- Precision measurements
- LFV/LNV searches
- Exotic searches (FIPs, Dark photon, etc...)



Squared missing mass (mass of the $\nu \bar{\nu}$ pair): $m_{miss}^2 = (P_K - P_\pi)^2$ π^+ mass hypothesis

- Highly boosted decay: $(75 \pm 1) \text{ GeV/c } K^+ (\gamma \sim 150)$
- Large undetectable missing energy carried away by the neutrinos
- All energy from visible particles must be detected
- π^+ momentum range 15 45 GeV/c ($E_{miss} > 30$ GeV)
- Hermetic detector coverage and O(100%) detector efficiency needed



- Feebly interacting scalar or pseudo-scalar particle X can be produced in $K^+ \rightarrow \pi^+ X$ decays
- Peak search inside the signal regions of the m_{miss}^2 distribution
- $O(10^{-11})$ sensitivity to a final state with a single π^+ + missing energy ($M_X = 0 350 \text{ MeV}/c^2$)

Analysis strategy



• A background suppression of $O(10^{11})$ is needed for the main K^+ decay modes

Experimental requirements

• Timing between sub-detectors ~ **0**(100 ps)

- Fast K^+ and π^+ tagging
- Excellent kinematic suppression ~ **0**(10⁴)
 - Precise K^+ and π^+ track reconstruction and $K \pi$ matching
- Muon suppression (e.g. $K^+ \rightarrow \mu^+ \nu_{\mu}$) ~ **0**(10⁷)
- π^0 suppression ~ $O(10^7)$

• Suppression of events with multiple charged particles (e.g. $K^+ \rightarrow \pi^+ \pi^- \pi^-) \sim O(10^7)$

The NA62 detector

- Secondary beam
 - 75 ± 1 GeV/c momentum
 - 6% K⁺ component
 - 60 m long fiducial volume
 - ~ 3 MHz K^+ decay rate



• Upstream detectors (*K*⁺)

- KTAG: Differential Cherenkov counter for K⁺ ID
- GTK: Silicon pixel beam tracker
- CHANTI: Anti-counter against inelastic beam-GTK3 interactions

- Downstream detectors (π^+)
 - STRAW: track momentum spectrometer
 - CHOD: scintillator hodoscopes
 - LKr/MUV1/MUV2: calorimetric system
 - RICH: Cherenkov counter for $\pi/\mu/e$ ID
 - LAV/IRC/SAC: Photon veto detectors
 - MUV3: Muon veto

K⁺ tagging: KTAG

- Differential Cherenkov counter geometrically aligned with the beam filled with $N_2(3.5 \times 10^{-2} X_0)$
 - Plans to replace the N_2 with $H_2(7 \times 10^{-3} X_0)$ to decrease beam emittance by ~ 9% in each plane
- Optimal working point for K^+ determined by performing a pressure scan
- PM's time alignment and time walk corrections: $\sigma_t \sim 70 \text{ ps}$
- *K*⁺ signal from at least 5-fold coincidence: > 98% efficiency





K⁺ tracking: GigaTacker (GTK)

- 4D track reconstruction using trigger and KTAG as time reference: $\frac{\sigma_P}{P} \sim 0.2\%$ at 75 GeV/c
- Pixel-by-pixel time walk corrections: $\sigma_t < 150$ ps per station
- Stations aligned with the straw Spectrometer and calibrated using $K^+ \rightarrow \pi^+ \pi^- \text{decays}$



π^+ tagging: RICH

- Main task: provide timing and separate muons from pions (15-45 GeV/c range)
- Mirrors aligned using laser and tracks reconstructed with the straw spectrometer
- Monitored using e^+ (~ 16 hits per e^+ ring)
- PM's time alignment and time walk corrections: $\sigma_t \sim 70 \text{ ps}$
- Ring-spectrometer track matched comparing ring centre and flight direction



π^+ tracking: Straw spectrometer (STRAW)

- "Massless" tracker in vacuum to minimize multiple scattering: 4 stations, total tracker mass $1.8\% X_0$
- Four views/station: X(0°), Y (90°), U(-45°), V(+45°)
- >95% reconstruction efficiency
- Final calibration using $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ decays





$K - \pi$ association

- KTAG GTK RICH time matching \rightarrow Kaon decay time (t_{decay})
- GTK STRAW spatial matching (CDA)
- 2.9% (4.0%) mis-tag if K⁺ track (not) present, dependent on beam intensity
- ~ 65% K^+ reconstruction + ID efficiency (dependent on intensity)



Photon rejection



• Fraction of surviving $K^+ \rightarrow \pi^+ \pi^0$: $\epsilon_{\pi^0} \sim 2 \times 10^{-8}$

• High suppression of $K^+ \to \pi^+ \pi^-, K^+ \to \pi^+ \pi^- e^+ \nu_e$ decays

Background from kaon decays: $K^+ \rightarrow \pi^+ \pi^0$

Control $K^+ \rightarrow \pi^+ \pi^0$ data used to study the tails of the m²_{miss} distribution



Data in $\pi^+\pi^0$ region after $\pi\nu\bar{\nu}$ selection (including π^0 rejection)

Expected $K^+ \rightarrow \pi^+ \pi^0$ in Fraction of $\pi^+ \pi^0$ in signal signal regions after the $\pi v \bar{v}$ region measured on control data

 $N_{\pi\pi}^{exp}(region) = N(\pi^+\pi^0) \cdot f_{kin}(region)$

• Control $K^+ \rightarrow \pi^+ \pi^0$ data selected only with calorimeters (background – free)

selection

• The same procedure used for $K^+ \rightarrow \mu^+ \nu_{\mu}$ and $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ background estimation

• $K^+ \rightarrow \pi^+ \pi^- e^+ v_e$ estimation entirely using MC simulations normalized to the *SES* 19



Suppressing upstream background events

- $K \pi$ association and geometrical cuts effective against upstream events
- Data driven background estimation
- Validation of the estimates using dedicated control samples







Expected signal and background contribution



| | 2018 data | | |
|---------------------------------------|--|--|--|
| Expected SM signal | 7.58(40) _{syst} (75) _{ext} | | |
| $K^+ \rightarrow \pi^+ \pi^0(\gamma)$ | 0.75(4) | | |
| $K^+ \rightarrow \mu^+ \nu(\gamma)$ | 0.49(5) | | |
| $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ | 0.50(11) | | |
| $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ | 0.24(8) | | |
| $K^+ \rightarrow \pi^+ \gamma \gamma$ | < 0.01 | | |
| $K^+ \rightarrow \pi^0 l^+ \nu$ | < 0.001 | | |
| Upstream | 3.30 ^{+0.98} -0.73 | | |
| Total background | 5.28 ^{+0.99} -0.74 | | |

• Combining the complete Run 1 data set (2016-18)

- $N_{\pi\nu\overline{\nu}}^{exp} = 10.01 \pm 0.42_{syst} \pm 1.19_{ext}$
- $N_{ba}^{exp} = 7.03^{+1.05}_{-0.82}$
- SES = $(0.839 \pm 0.053_{syst}) \times 10^{-11}$



• 20 events observed in signal region in NA62 Run 1 data

• $BR(K^+ \to \pi^+ \nu \overline{\nu}) = (10.6^{+4.0}_{-3.4}|_{stat} \pm 0.9_{syst}) \times 10^{-11} [JHEP 06 (2021) 093]$ 3.4 σ significance

Grossman-Nir limit



Impact in the context of BSM models

Simplified models [Buras et. al JHEP 1511 (2015) 166]



LFU violation [Isidori et. al Eur. Phys. J. C (2017) 77: 618]



NA62 measurement

Search for $K^+ \rightarrow \pi^+ X$ decays



- Model independent upper limits
- Limits at the order of 10^{-11} for X in the mass range up to ~ 260 MeV/c^2
- Different lifetimes considered

What is the future of experimental kaon physics at CERN? The HIKE proposal

High Intensity Kaon Physics Experiments (HIKE)

• The HIKE proposal includes 3 Phases

• Phase 1: Multi-purpose *K*⁺ experiment

This seminar

- Phase 2: Multi-purpose *K*_L experiment
- Phase 3: KLEVER an experiment to measure the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ process

• HIKE LoI published in November: https://cds.cern.ch/record/2839661

The HIKE proposal Phase 1: Physics case

- A multi-purpose *K*⁺ experiment (after LS3)
- Measurement of the $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ branching ratio to a 5% relative precision
- Precision measurements of $K^+ \rightarrow \pi^+ l^+ l^-$ decays, which also offer precision lepton universality test
- Searches for lepton flavour/number violating decays
- Measurement of the main K^+ decay modes to permille relative precision
- Improvement of other existing rare decay modes
- Searches for production of feebly-interacting particles in *K*⁺ decays

The HIKE proposal Phase 1: Detector layout



- Decay-in-flight technique, experience from NA62 and similar layout
- High detector rates, precision tracking, time resolution 0(20ps)
- Minimize material
- High-performance PID for γ , π^+ , μ^+ , and e^+
- Efficient hermetic photon vetoes and high-performance EM calorimetry (σ_E , σ_t , granularity)

4xNA62 intensity

Improved timing is crucial

Technological solutions exist

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Beam tracker

4xNA62 intensity

| | NA62 GigaTracker | New beam tracker |
|---|-----------------------|-----------------------|
| Single hit time resolution | < 200 ps | < 50 ps |
| Track time resolution | <100 ps | < 25 ps |
| Peak hit rate | 2 MHz/mm ² | 8 MHz/mm ² |
| Pixel efficiency | > 99% | > 99% |
| Peak fluence/1 year [10 ¹⁴ 1 MeV n _{eq} /cm ²] | 4 | 16 |

- Fast timing in high-radiation environment needed across different experiments (including LHC)
- Hybrid 3D-trenched technology under study (TimeSPOT)
 - Pixel electrode geometry optimized for timing performance
 - Can withstand large irradiation
- Other solutions might also be possible (monolithic detectors, LGADs)

Cherenkov kaon tagger

- 200 MHz (10MHz/cm²) of K^+ in HIKE Phase 1 requires: $\sigma_t \approx 15 20$ ps and $\epsilon_{tagging} > 95\%$
- Replacing the nitrogen radiator with hydrogen
 - Newly built ring-imaging optical components optimized for operation with hydrogen
 - Increase the number of detected photons per kaon to 30 (20 in NA62)
- Photodetection with MCP–PMTs
 - ALD coating to increase lifetime (>150 better than standard MCP–PMTS)
 - Linearity with increasing rate is under investigation
 - Rate stability can be adjusted by modifying the MCP coating
- New front-end electronics under development (fastIC, picoTDC)



STRAW tracker

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|-------------------|
|-------------------|

| | Current NA62 spectrometer | New straw spectrometer |
|--------------------------------------|---------------------------|------------------------|
| Straw diameter | 9.82 mm | 4.82 mm |
| Straw length | 2100 mm | 2100 mm |
| Planes per view | 4 | 8 |
| Straws per plane | 112 | ~160 |
| Straws per chamber | 1792 | ~5200 |
| Mylar thickness | 36 µm | (12 or 19) µm |
| Anode wire diameter | 30 µm | (20 or 30) µm |
| Total material budget | $1.7\% X_0$ | $(1.0 - 1.5)\% X_0$ |
| Maximum drift time | ~150 ns | ~80 ns |
| Hit leading time resolution | (3-4) ns | (1 - 4) ns |
| Hit trailing time resolution | ~30 ns | ~6 ns |
| Average number of hits hits per view | 2.2 | 3.1 |



Optimized layout for new STRAW tracker





Veto counter

- Important to reject upstream decays
- Requirements:
 - $\sigma_t \sim 200 \text{ ps per station}$
 - Detection efficiency > 99%
 - High-rate capabilities
- <u>Solution already available</u>
 - SciFi technology already used in LHCb
 - Some improvements needed on the time resolution
 - Optimize detector thickness + front-end electronics



Charged anti-coincidence detector

- Reject inelastic interactions in the beam tracker
- Requirements:
 - $\sigma_t \sim 200$ ps per station
 - Detection efficiency > 99%
 - High-rate capabilities
- <u>SciFi solution possible but challenging</u>
 - · Some improvements needed on the time resolution
 - The detector must be operated in vacuum dedicated R&D is required
 - Would allow easy cooling of the SiPM at liquid nitrogen temperatures and eliminate noise
- Can be used in conjunction with the Veto Counter to separate halo muons from charged particles produced in inelastic interactions: reduce accidental veto



What is the future of experimental kaon physics at CERN? Ideas for the far future ($K \rightarrow \mu^+\mu^-$ interfernece)



- Asymmetric K^0 and $\overline{K^0}$ beam required: fixed-target experiment at the SPS?
 - QCD production with a $K^0 \overline{K^0}$ asymmetry (D ~ 0.3 for NA48)
 - Dilution must be measured precisely (~ 1% precision or better) with $K \rightarrow \pi\pi$ decays
- At least O(10¹⁴) K decays needed for a few % measurement (depends on φ_0)^{arXiv:2211.03804}

High-intensity K_S/K_L experiment

 $BR(K_S \to (\mu^+ \mu^-)_{l=0}) = B(K_L \to \mu^+ \mu^-) \times \frac{\tau_S}{\tau_L} \times \frac{C_{cos}^2 + C_{sin}^2}{C_L^2} = 1.8 \times 10^{-13} \times \frac{A^2 \lambda^5 \eta}{1.3 \times 10^{-4}}$ Pure CPV amplitude!

- A **golden** opportunity to get η cleanly, with **less than 1% error**
- A possibility in the long-term that should not be overlooked
- Interference mesurement is the main motivation: <u>PRL 119 201802(2017)</u>, JHEP 07 (2021) 103
 - Challenges on intensity, detector performance, background suppression
- A high-intensity kaon factory that could address the interference requires a much more generic machine
- <u>Rewrite the PDG for K_s and K_L decays</u>



Simulation: Signal after geometrical selection



- Signal efficiency ~ 15% (DAQ+Trigger+Detector efficiency (a la NA62)+full selection)
 - Geometrical acceptance ~ 40%
- Statistics in the plots correspond to ~2 *years of operation* (10¹⁹ POT/year), 12*mrad* incident angle, 1*mrad* collimator opening, and $\varphi_0 = 0$ strong phase

Signal yield for 10¹⁹ POT/year

- Yield for interference events can't reliably be computed
 - Depends heavily on the beam setup (incident angle + collimation) and the strong phase ϕ_0
- <u>A particular experimental setup and φ_0 chosen</u>
 - Expected number of interference decays in 0-6 τ_s ~ 500 2000 events/year (no selection)
 - Signal efficiency ~ 15 % → **75 300 events/year (after full selection)**
 - Work on the signal extraction is needed to translate the expected statistics to sensitivity
 - Optimization of the beam line essential to determine if the sensitivity will be sufficient

Rate of charged particles



- Primary source of charged particles: K_S and Λ decays
 - Large integrated rates ~ **1GHz** (total surface ~ 3.7 m²)
 - Non-uniform rate: hot spots can reach ~ 0.7 1 MHz/cm²





<u>Affordable rates but technically challenging</u>

- High granularity + different technology as a function of radius
- Interface between different detector materials
- Solid state detectors might be the solution
- Similar to the solutions required for detectors at the HL-LHC

Areas for future study: analysis and simulations

• More serious feasibility study needed to address the $K_s - K_L \rightarrow \mu^+ \mu^-$ interference

- Important questions:
 - Can we collect O(10³) interference events in few years of operation
 - Background studies (accidentals and $K_L \rightarrow \mu^+ \mu^- \gamma$ background)
 - Impact of background contamination and fit procedure on the extraction of η
 - How is the sensitivity dependent on the strong phase

Areas for future study: beam and detector

- Beam line for a future high-intensity K_S experiment
 - Different options must be studied (muon rate, collimation, target, ...)

- Tracking and calorimetry at the GHz regime: dedicated R&D program required
 - High-granularity detectors with O(100ps) time resolution
 - High detection efficiency > 95%
 - Hybrid technology (different techniques as a function of R)
 - Calorimetry essential for $K_L \rightarrow \pi^0 l^+ l^-$
 - Excellent momentum and energy resolution
 - Readout challenges

Conclusions

- Kaon physics observables: precision tests of the SM, complementary to B and D physics
- NA62 established the most precise $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ measurement with its Run 1 data (Run 2 ongoing)
 - On track to reach 10% precision with Run 2 data
- Exciting prospects for the future of kaon physics at CERN (HIKE)
 - Kaon experiments at the intensity frontier = state–of–the–art detector technologies
 - Compelling physics program (rare decays, precision measurements, LFV/LNV, LFU tests, searches)
 - Detector solutions in line with the R&D required for HL–LHC
- A new idea to measure time-dependent $K_S K_L \rightarrow \mu^+ \mu^-$ interference in the future
 - Requires serious feasibility studies and many challenges

We are entering an exiting decade for kaon physics!

SPARES

Thoughts on experimental design



- Similar setup to NA62 but switch to neutral beamline: 6xNA62 intensity → 1019 POT/year
- Beam much closer to the detectors: high event rate
- First few meters after the target will be needed for collimation
- Large incident angle \rightarrow soft kaon momentum spectrum \rightarrow 30-40% geometrical acceptance

Background from kaon decays

| | Effective BR | Suppression mechanism | |
|---|----------------------|--|--|
| $K^0 ightarrow \mu^+ \mu^-$ (Signal) | ~3x10 ⁻¹⁰ | - | |
| $K_S \rightarrow \pi^+ \pi^-$ | 0.7 | PID, Kinematics (wrong mass assignment) | |
| $K_S \to \pi^+ \pi^- (\to \mu^+ \mu^-)$ | 1x10-4 | Probability for $2x \pi \rightarrow \mu$ decays, Kinematics (P_{miss} , Vertex reconstruction, Position at primary target) | |
| $K_L \rightarrow \mu^+ \mu^- \gamma$ | 3.6x10 ⁻⁷ | Branching ratio, Missing momentum, Photon rejection | |
| Accidental muon pairs | - | Kinematic rejection, timing | |

- $K^0 \rightarrow \mu^+ \mu^-$ signal signature: two muons with invariant mass $M_{\mu\mu}$, peaking at the neutral kaon mass
- Complementary challenges as for the $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ measurement:
 - Strong PID, Kinematic, and Photon rejection

Background: Non-gaussian kinematic tails



- Kinematic boundary for both backgrounds far from signal region (at least 10 sigma)
- Smearing as for the gaussian + non-gaussian tails from $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ in NA62 data
- Expected kinematic tails at the level of ~ 10⁻⁵

Background contamination

| | Effective BR | Suppression mechanism | Expected S/B |
|---|----------------------|--|--------------|
| $K_S \rightarrow \pi^+ \pi^-$ | 0.7 | PID, Kinematics (wrong mass assignment) | ~10 |
| $K_S \to \pi^+ \pi^- (\to \mu^+ \mu^-)$ | 1x10-4 | Probability for $2x \pi \rightarrow \mu$ decays, Kinematics (P_{miss} , Vertex reconstruction, Position at primary target) | ~2 |
| $K_L \rightarrow \mu^+ \mu^- \gamma$ | 3.6x10 ⁻⁷ | Branching ratio, Missing momentum, Photon rejection | ? |
| Accidental muon pairs | - | Kinematic rejection, timing | ? |

- Work required to estimate the contribution of radiative decays and accidentals
- Accidental background will be an issue (heavily dependent on the beam line)

Areas for future study: beyond $K \rightarrow \mu^+ \mu^-$

- Large statistics of rare processes will be available
- O(10¹⁴) K_S/K_L decays will allow studies of $K_L \rightarrow \pi^0 l^+ l^-$ and $K_L \rightarrow e\mu$ decays
 - Translates to ~ 50 (25) $K_L \rightarrow \pi^0 e^+ e^- (K_L \rightarrow \pi^0 \mu^+ \mu^-)$ events/year
- O(10¹³) Λ decays
- Sensitivity studies for a wide range of rare processes must be performed
- New ideas for observables are welcome
- Understand better the experimental requirements for a broad program!

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: Historical context

