# Recent advances in the observations of high energy cosmic rays

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Université de Genève Départment de Physique Nucléaire et Corpusculaire DPNC Seminar – April 27, 2022



# Contents



- Brief history of early measurements
- Selected recent results at high energies
- Ideas for future projects

Disclaimer:

- I will not go into theoretical aspects (see May 18 DPCN seminar)
- I will concentrate on charged cosmic rays measurements
  (i.e. not going to cover neutrino and gamma ray astronomy)



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#### G S S I **Discovery and first measurements**









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Altitude (km)



Altitude (km)





Fig. 39. – Les principaux vols stratosphériques.

## EXPLORER II – 11 Nov 1935 – 22000 m



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## MILLIKAN RETORTS Hotly to compton F1 cosmic ray clash

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> Dobate of Rival Theorists Brings Drama to Session of Nation's Scientists.

> THEIR DATA AT VARIANCE

New Findings of His Ex-Pupil Lead to Thrust by Millikan at 'Less Cautious' Work.

PROF. RUSSELL ELECTED

Astronomer Heads Association-----Secret of Purple Gold in Tomb of Tut-ankh-Amen Rediscovered.

By WILLIAM L. LAURENCE. Special to THE NEW YORK TIMES. ATLANTIC CITY, Dec. 30.—Professor Robert A. Millikan, who won the Nobel Prize in physics for being

## MILLIKAN DENIES 'CLASH' ON THEORY

Scientist Protests That the Word 'Incautious' Was Not Aimed at Compton.

#### DISCLAIMS ANY COOLNESS

Holds The Times Report Stated "Exactly the Opposite" of the Findings He Presented.

By Telegraph to the Editor of THE NEW YORK TIMES.

WASHINGTON, D. C., Dec. 31.-It is not customary for me to attempt to correct erroneous newspaper reports, and that for the simple reason that with many newspapers it is a well-nigh hopeless undertaking. But THE NEW York TIMES is usually so dependable that I assume it will welcome correction and also will know how to effect the remedy for its error.

## MILLIKAN'S DATA CONFIRM COMPTON

Results of Cosmic Ray Study at Panama Tend to Back Rival's Ideas.

RAY INTENSITY VARIES

Strength is Greater at the Poles ---Equatorial Tests Are Now Projected.

PASADENA, Cal., Feb. 4 (AP).--The stratosphere above equatorial regions of the earth should be the next scene of exploration in the quest of the secrets of the cosmic ray, Dr. Robert A. Millikan said here today.

Announcing that observations of his co-workers at Panama confirmed the earlier reports of Dr. Arthur H. Compton of Chicago that the rays from interstellar space showed latitude effects, Dr. Millikan disclosed that the variance was as high as 8 per cent.

## Many studies "at ground"

Bruno Rossi,1933.

Hints for evidence of extensive air showers

### Pierre Auger, 1938 First clear Evidence for extensive air showers

PHYSIQUE NUCLÉAIRE. — Grandes gerbes cosmiques atmosphériques contenant des corpuscules ultrapénétrants. Note de MM. PIERRE AUGER, RAYMOND MAZE et M<sup>mo</sup> Thérèse GRIVET-MEVER, présentée par M. Jean Perrin.

1. De nombreux travaux récents ont montré l'existence dans le rayonnement cosmique de deux espèces de corpuscules; d'une part des électrons des deux signes fortement absorbés par la matière et qui sont pratiquement totalement arrêtés par un écran de 5 à 10<sup>cm</sup> de plomb, d'autre part des corpuscules nouveaux dont la masse est probablement comprise entre 100 et 200 fois celle de l'électron et dont le pouvoir pénétrant est très supérieur. La question des relations entre ces deux groupes se pose alors de la façon suivante : les deux types de corpuscules atteignent-ils indépen-



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30	34	30		21	20		15	30	1	0	30	7
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13.0	128	130		115	130		86	13.0	7	8.1	110	66
	8 10-21											
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## A 10 TeV proton initiated Extensive air Shower



3. J.Oehlschlaeger, R.Engel, FZKarlsruhe

Proton 10<sup>13</sup> eV

21336 m





# The very beginning of space exploration

USSR	USA	Notes	Detectors	
<b>Sputnik I</b> Oct. 4, 1957		Same time: all-particle knee discovered in EAS experiment (G.B. Khristiansen & G.V. Kulikov)		
<b>Sputnik II</b> Nov. 3, 1957		First CR detector in space ! (above Laika's, i.e. Kudrjavka's, cabin) Anomalous counting above a given altitude (S. N. Vernov)	Geiger-Muller (G-M) tubes	
	<b>Explorer I</b> Fe. 1 <i>,</i> 1958	Detector saturation above a given altitude !?!	G-M tubes	
	<b>Explorer III</b> Mar. 26, 1958	Dectors saturation above a given altitude !?! May 1, 1958: J. A. Van Allen's hypothesis: particles trapped by the geomagnetic field	G-M tubes	
Sputnik III		CR measurements up to about 2000km Van Allen's (belts) explanation fully confirmed		
	<b>Explorer IV</b> Jul. 26, 1958	Further studies on Van Allen's hypothesis (the ARGUS project)	G-M tubes, CsI(TI) and plastic scint.	





### UV-Xray detector





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# Sputnik II: november 3, 1957

Payload mass: 500 kg

Two Geiger-Muller 10cm long tubes (first transistors/diodes in space)







S. N. Vernov N.L.Grigorov A.E. Chudakov Yu. I. Logachev



Observed anomaly where we know now that fluctuating outer radiation belt approaches Earth.

No memory elements onboard and information received only above USSR, corresponding to altitudes in the range of 225 to 600 km.

# Sputnik III: may 15, 1958







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CONTRACTOR .



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## 1954-57: The first "ionization" calorimeter

First samples of what we now call "sampling calorimeters" (N. L. Grigorov et al.)





## **1965-68. The Proton satellites**

Four heavy satellites of the Proton series. Proton-4 carried was the IK-15 calorimeter

70 15

10 16 E (eV)

SEZ-14 (an acronym of russian words Spectra, Energy, and Charge, up to  $10^{14}$  eV) : 7 tons





N.L.Grigorov

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S.N.Vernov



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70-12

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•-1 0\_\_\_

10<sup>3</sup>

E, GeV

Vs [GeV]

#### G S S I

## 1970: p and He with Calorimeter on a balloon



onic cascades in the spectrometer. The showers reach a flat maximum and generally decay away. Though individual shower curves fluctuate a great deal, most of this is because of the location of the first interaction. The area enclosed by the shower curves gives a good measure of the total energy of the incident particle.

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a single instrument.

The detector system was designed so that a very wide

range of charges and energies could be measured with



## **Basic questions**

- What are the sources of cosmic rays ?
- How cosmic accelerator work ?
- What happens during cosmic ray propagation ?

**Observable quantities** 

- Energy spectra
- Arrival directions
- "Chemical" composition



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### G S S I

# The electron+positron flux



# The electron + positron signal (5yrs ago)





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# The all-electron flux today



# Antimatter: the positron fraction

![](_page_25_Figure_1.jpeg)

Energy (GeV)

#### An anomalous positron abundance in cosmic rays with energies 1.5-100 GeV

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O. Adriani<sup>1,2</sup>, G. C. Barbarino<sup>3,4</sup>, G. A. Bazilevskaya<sup>5</sup>, R. Bellotti<sup>6,7</sup>, M. Boezio<sup>8</sup>, E. A. Bogomolov<sup>9</sup>, L. Bonechi<sup>1,2</sup>, M. Bongi<sup>2</sup>, V. Bonvicini<sup>8</sup>, S. Bottai<sup>2</sup>, A. Bruno<sup>6,7</sup>, F. Cafagna<sup>7</sup>, D. Campana<sup>4</sup>, P. Carlson<sup>10</sup>, M. Casolino<sup>11</sup>, G. Castellini<sup>12</sup>, M. P. De Pascale<sup>11,13</sup>, G. De Rosa<sup>1</sup>, N. De Simone<sup>11,13</sup>, V. Di Felice<sup>11,11</sup>, A. M. Galper<sup>1</sup>, L. Grishantseva<sup>1</sup>, P. Hofverberg<sup>10</sup>, S. V. Koldashov<sup>14</sup>, S. Y. Krutkov<sup>9</sup>, A. N. Kvashnin<sup>3</sup>, A. Leonov<sup>14</sup>, V. Malvezzi<sup>11</sup>, L. Marcelli<sup>11</sup> W. Menn<sup>35</sup>, V. V. Mikhailov<sup>14</sup>, E. Mocchiutt<sup>1</sup>, S. Orsi<sup>10,11</sup>, G. Osteria<sup>1</sup>, P. Papin<sup>2</sup>, M. Pearce<sup>14</sup>, P. Picozza<sup>11,13</sup>, M. Ricci<sup>17</sup>, S. B. Ricciarini<sup>2</sup>, M. Simon<sup>15</sup>, R. Sparvoli<sup>11,13</sup>, P. Spillantini<sup>12</sup>, Y. I. Stozhkov<sup>2</sup>, A. Vacch<sup>4</sup>, E. Vannuccini<sup>2</sup>, G. Vasilyev<sup>9</sup>, S. A. Voronov<sup>14</sup>, Y. T. Yurkin<sup>14</sup>, G. Zampa<sup>8</sup>, N. Zampa<sup>8</sup> & V. G. Zverev<sup>14</sup>

![](_page_25_Figure_5.jpeg)

### First "anomalous" results from PAMELA (april 2009) FERMI contribution, even with large systematics. **Extended and precise measurements by AMS-02**

![](_page_25_Figure_7.jpeg)

![](_page_26_Picture_0.jpeg)

# The e<sup>+</sup> and e<sup>-</sup> fluxes with AMS-02

![](_page_26_Figure_2.jpeg)

![](_page_27_Picture_0.jpeg)

![](_page_27_Figure_1.jpeg)

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Antiproton flux consistent with secondary production calculations

New measurements at accelerators (e.g. LHCb) in order to lower the systematic uncertainty on secondary production calculations

## G S Proton and helium: (discrepant) hardenings

![](_page_28_Figure_1.jpeg)

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# Similar hardenings for other nuclei

![](_page_29_Figure_1.jpeg)

## Acceleration or propagation effect ? Both ?

Need for precise measurements of secondary productions (B/C,..) and

## extensions in the 1-100 TeV energy region with large acceptance (an good resolution) calorimeters in space

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# CALET confirmation of the p hardening

![](_page_30_Figure_1.jpeg)

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# New findings by DAMPE: protons

SCIENCE ADVANCES | RESEARCH ARTICLE

#### PHYSICS

#### September 27, 2019

Measurement of the cosmic ray proton spectrum from 40 GeV to 100 TeV with the DAMPE satellite

![](_page_31_Figure_5.jpeg)

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# New findings by DAMPE: helium

![](_page_32_Figure_2.jpeg)

Kinetic energy [GeV/n]

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# The iron flux: AMS-02 and CALET

![](_page_33_Figure_1.jpeg)

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# <sup>s</sup> Other nuclei and larger energies

![](_page_34_Figure_1.jpeg)

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### G S S I

# Anisotropies below the knee

Large Scale anosotropies (LSA) at the level of 10<sup>-4</sup>-10<sup>-3</sup> in the multi TeV region with stable phase. Change in phase and amplitude above 100TeV, below the all-particle knee.

Medium/Small scale anisotropies (MSA) in the few TeV range

![](_page_35_Figure_4.jpeg)

![](_page_35_Figure_5.jpeg)

# <sup>G S</sup> HERD: towards the knee from space

![](_page_36_Picture_1.jpeg)

Large acceptance, deep, 3D calorimeter, equipped with

silicon tracker and plastic scintillators for primary identification, onboard the Chinese Space Station for a long duration mission.

One order of magnitude jump in exposure wrt current generation CR experiment: 10-15 m<sup>2</sup> sr yr

![](_page_36_Figure_5.jpeg)

![](_page_36_Figure_6.jpeg)

![](_page_36_Picture_7.jpeg)

#### GS I

## **HERD:** collaboration and main features

#### CHINA

#### Institute of High Energy Physics, CAS (IHEP)

Xi'an Institute of Optical and Precision Mechanics, CAS (XIOPM) Guangxi University (GXU) Shandong University (SDU) Southwest Jiaotong University (SWJTU) Purple Mountain Observatory, CAS (PMO) University of Science and Technology of China (USTC) Yunnan Observatories (YNAO) North Night Vision Technology (NVT) University of Hong Kong (HKU) Academia Sinica

#### ITALY

INFN Bari and Bari University INFN Firenze and Firenze University INFN LNGS and GSSI Gran Sasso Science Institute INFN Lecce and Salento University INFN Napoli and Napoli University INFN Pavia and Pavia University INFN Perugia and Perugia University INFN Pisa and Pisa University INFN Roma2 and Tor Vergata Universit INFN Trieste and Trieste University

#### **SPAIN**

CIEMAT - Madrid ICCUB – Barcellona IFAE – Barcellona

#### SWITZERLAND

University of Geneva

![](_page_37_Picture_11.jpeg)

	HERD	DAMPE	CALET	AMS-02	Fermi LAT
e/γ Energy res.@100 GeV (%)	<1	1.5	2	3	10
$e/\gamma$ Angular res.@100 GeV (deg)	< 0.1	0.1	0.2	0.3	0.1
e/p discrimination	>10 <sup>6</sup>	10 <sup>5</sup>	10 <sup>5</sup>	10 <sup>5</sup> - 10 <sup>6</sup>	10 <sup>3</sup>
Calorimeter thickness (X <sub>0</sub> )	55	32	27	17	8.6
Geometrical acceptance (m <sup>2</sup> sr)	>3	0.29	0.12	0.09	1

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# **HERD:** the detector and the CSS orbit

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![](_page_38_Figure_1.jpeg)

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# Space-Balloon vs Ground based

## Direct

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### measurements

#### **Requirements:**

Calorimetry vs Spectrometry Large acceptances <20% resolutions

### Output: Fully explore the sub-PeV region Individual spectra

#### Limitations:

Surface/weight limited Hard to reach the all-particle knee Need high technology

![](_page_39_Picture_8.jpeg)

![](_page_39_Figure_9.jpeg)

## Indirect

### measurements

#### **Requirements:**

Multi-Hybrid approach Operate at (not too) high altitude Large surfaces / samplings

### Output: Reach the highest energies Detect small anisotropies

### Limitations:

Poor mass resolution Intrinsically limited by systematics Large model dependence

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# Not simple evolution toward the ankle

![](_page_40_Figure_1.jpeg)

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# G S The Pierre Auger Observatory

- Malargue (Arg, 35°S), 1400 m a.s.l.
- E range: 10<sup>17</sup> eV 10<sup>21</sup> eV
- Multi-detectors, hybrid reconstruction
- Surface Detector array (SD)
  - Sampling EAS particles at ground
  - 1670 WC tanks, 1500 m spacing, 3000 km<sup>2</sup>.
  - SD-750, SD-433 (→ ~10<sup>16</sup> eV)
- Fluorescence Detectors (FD)
  - EAS longitudinal profile
  - 24 Telescopes in 4 sites + 3 HEAT

![](_page_41_Picture_12.jpeg)

#### Surface Detector

1670 Water Cherenkov tanks 3.6 m diameter , 1.2 m depth 3 9" PMTs + 1 Small 1" PMT Plus a Scintillation detector on top

**Fluorescence Detector** 

![](_page_41_Picture_15.jpeg)

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6 telescopes /eye x 4 eyes 3.6 x 3.6 m2 spherical mirrors 80x80 cm2 cameras 440 PMTs, 30x30 deg2 FoV + HEAT telescopes

![](_page_41_Figure_18.jpeg)

![](_page_42_Picture_0.jpeg)

![](_page_42_Picture_1.jpeg)

![](_page_42_Figure_2.jpeg)

Calorimetric energy measurement with the FD

Energy calibration of SD observables using FD data

![](_page_42_Figure_5.jpeg)

Very good **energy** (8% stat , 15% sys) and **X<sub>max</sub>** (lower than 10g/cm2 stat and 10g/cm2 sys) resolutions and uncertainties.

![](_page_43_Picture_0.jpeg)

# At the highest energies....

The UHECR energy spectrum measured by the Pierre Auger Observatory

![](_page_43_Figure_3.jpeg)

### No dependence on the declination has been observed

![](_page_44_Picture_0.jpeg)

![](_page_44_Picture_1.jpeg)

# At the highest energies....

UHECR mass composition studies performed by the Pierre Auger Observatory, using the atmospheric depth of the shower maximum and its fluctuations

![](_page_44_Figure_4.jpeg)

![](_page_45_Picture_0.jpeg)

## At the highest energies: AUGER large scale anisotropy

![](_page_45_Picture_2.jpeg)

Science 357, 1266-1270 (2017) 22 Septe

22 September 2017

#### COSMIC RAYS

# Observation of a large-scale anisotropy in the arrival directions of cosmic rays above $8 \times 10^{18}$ eV

The Pierre Auger Collaboration\*†

Cosmic rays are atomic nuclei arriving from outer space that reach the highest energies observed in nature. Clues to their origin come from studying the distribution of their arrival directions. Using 3 × 10<sup>4</sup> cosmic rays with energies above 8 × 10<sup>18</sup> electron volts, recorded with the Pierre Auger Observatory from a total exposure of 76,800 km<sup>2</sup> sr year, we determined the existence of anisotropy in arrival directions. The anisotropy, detected at more than a 5.2 $\sigma$  level of significance, can be described by a dipole with an amplitude of 6.5<sup>+1.3</sup><sub>-0.9</sub> percent toward right ascension  $\alpha_d = 100 \pm 10$  degrees and declination  $\delta_d = -24^{+12}_{-13}$  degrees. That direction indicates an extragalactic origin for these ultrahigh-

energy particles.

![](_page_45_Figure_10.jpeg)

![](_page_45_Figure_11.jpeg)

Fig. 1. Normalized rate of events as a function of right ascension. Normalized rate for 32,187 events with  $E \ge 8$  EeV, as a function of right ascension (integrated in declination). Error bars are  $1\sigma$  uncertainties. The solid line shows the first-harmonic modulation from Table 1, which displays good agreement with the data  $(\chi^2/n = 10.5/10)$ ; the dashed line shows a constant function.

![](_page_45_Figure_13.jpeg)

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# New techniques at the highest energies

Improve the statistics by a jump in exposure, for UHE CR and neutrinos:

a giant ground array and/or a space-based observatory

![](_page_46_Figure_3.jpeg)

**POEMMA: Probe Of Extreme Multi-Messenger Astrophysics** 

![](_page_46_Figure_5.jpeg)

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![](_page_47_Picture_0.jpeg)

# Summary

![](_page_47_Picture_2.jpeg)

Continuous, steady improvements in CR physics.

New data gave some anwers but also raised new questions.

Many "unexpected" results: →Exciting opportunities

New ideas will (as always) make the difference

![](_page_48_Picture_0.jpeg)

# Summary

![](_page_48_Picture_2.jpeg)

Continuous, steady improvements in CR physics.

New data gave some anwers but also raised new questions.

Many "unexpected" results: →Exciting opportunities

New ideas will (as always) make the difference

Merci!

![](_page_48_Picture_9.jpeg)

No thanks!

We are too busy