

# Advanced Particle Physics 2

## Strong Interactions and Weak Interactions

### L10 – Neutrino Interactions

(<http://dpnc.unige.ch/~bravar/PPA2/L10>)

lecturer

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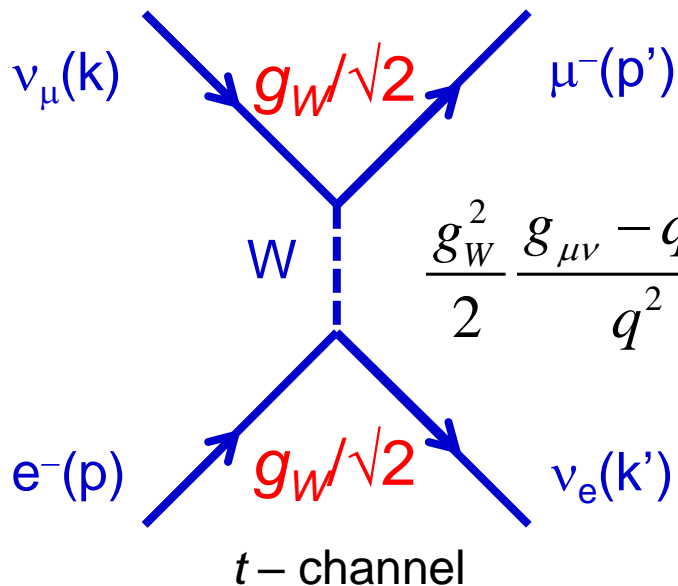
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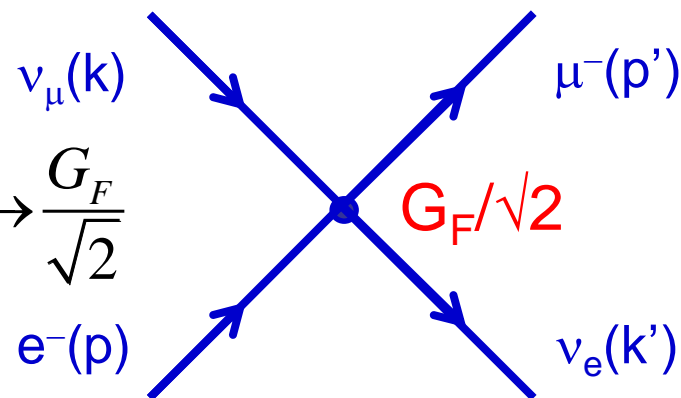
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# Charged Current $\nu_\mu e^- \rightarrow \mu^- \nu_e$ Scattering (inverse $\mu$ decay)



$$\frac{g_W^2}{2} \frac{g_{\mu\nu} - q_\mu q_\nu / M_W^2}{q^2 - M_W^2} \xrightarrow{q^2 \rightarrow 0} \frac{G_F}{\sqrt{2}}$$



$$M = \frac{g_W}{\sqrt{2}} \left( \bar{u}(p') \gamma^\mu \frac{1}{2} (1 - \gamma^5) u(k) \right) \frac{g_{\mu\nu} - q_\mu q_\nu / M_W^2}{q^2 - M_W^2} \frac{g_W}{\sqrt{2}} \left( \bar{u}(k') \gamma^\nu \frac{1}{2} (1 - \gamma^5) u(p) \right)$$

$$M = \frac{4G_F}{\sqrt{2}} \left( \bar{u}_L(p') \gamma^\mu u_L(k) \right) \left( \bar{u}_L(k') \gamma_\mu u_L(p) \right)$$

$$\begin{cases} u_L = \frac{1}{2} (1 - \gamma^5) u \\ \bar{u}_L = \bar{u} \frac{1}{2} (1 + \gamma^5) \end{cases}$$

$J_\mu^\dagger$  – charge lowering weak current

$J^\mu$  – charge raising weak current

# Invariant Amplitude $\nu_\mu e^- \rightarrow \mu^- \nu_e$

Invariant amplitude

$$M = \frac{4G_F}{\sqrt{2}} \left[ \bar{u}(p') \gamma^\mu \frac{1}{2} (1 - \gamma^5) u(k) \right] \left[ \bar{u}(k') \gamma_\mu \frac{1}{2} (1 - \gamma^5) u(p) \right]$$

Spin averaged |invariant amplitude|<sup>2</sup>

$$\begin{aligned} \langle |M|^2 \rangle &= \frac{1}{2s+1} \sum_{\text{spins}} |M|^2 \\ &= \frac{1}{2} \frac{16G_F^2}{2} \sum_{s,t} \left[ \bar{u}^s(p') \gamma^\mu \frac{1}{2} (1 - \gamma^5) u^t(k) \right] \left[ \bar{u}^t(k) \frac{1}{2} (1 + \gamma^5) \gamma^\nu u^s(p') \right] \times \\ &\quad \sum_{s',t'} \left[ \bar{u}^{s'}(k') \gamma_\mu \frac{1}{2} (1 - \gamma^5) u^{t'}(p) \right] \left[ \bar{u}^{t'}(p) \frac{1}{2} (1 + \gamma^5) \gamma_\nu u^{s'}(k') \right] \end{aligned}$$

Apply Casimir's trick ( $\Gamma_i$  - 4 x 4 matrix)

$$\sum_{\text{spins}} [\bar{u}(a) \Gamma_1 u(b)] [\bar{u}(a) \Gamma_2 u(b)]^* = \text{Tr} [\Gamma_1 (\not{p}_b + m_b) \gamma^0 \Gamma_2 \gamma^0 (\not{p}_a + m_a)]$$

Finally ...

$$\begin{aligned} \langle |M|^2 \rangle &= \frac{1}{2} \frac{G_F^2}{2} \text{Tr} \left\{ \not{k} \gamma^\mu (1 - \gamma^5) (\not{p}' + m_e) \gamma^\nu (1 - \gamma^5) \not{k}' \right\} \times \text{Tr} \left\{ \gamma_\mu (1 - \gamma^5) \not{k} \gamma_\nu (1 - \gamma^5) (\not{p}' + m_\mu) \right\} \\ &= 64G_F^2 (k \cdot p)(k' \cdot p') \quad (\text{neglecting } m_e \text{ and } m_\mu) \end{aligned}$$

compare with muon decay (L8) → “inverse” muon decay

$$\langle |M|^2 \rangle = \frac{1}{2} \sum_{\substack{\text{initial} \\ \text{final} \\ \text{spins}}} |M|^2 = \dots = 64 G_F^2 (k \cdot p)(p' \cdot k')$$

$$\begin{cases} m_\mu = 0 & m_e = 0 \\ s = (p+k)^2 = (p'+k')^2 \approx 2p \cdot k = 2p' \cdot k' \end{cases}$$

$$= 16 G_F^2 s^2 \quad \text{no angular dependence in } |amplitude|^2$$

$$d\sigma(\nu e) = \frac{1}{F} \langle |M|^2 \rangle dQ$$

$$\frac{d\sigma}{d\Omega}(\nu e) = \frac{1}{64\pi^2 s} \frac{|\vec{p}_f|}{|\vec{p}_i|} \langle |M|^2 \rangle$$

$$\left\{ \begin{aligned} F &= 4 |\vec{p}_i| \sqrt{s} \\ dQ &= \frac{1}{4\pi^2} \frac{|\vec{p}_f|}{4\sqrt{s}} d\Omega \end{aligned} \right. \quad s = 2p \cdot k = 2E_\nu m_e$$

$p_f = p_i$  in the limit  $m_e, m_\mu = 0$

$$= \frac{G_F^2 s}{4\pi^2} \xrightarrow{\int_{\Omega} = 4\pi} \sigma = \frac{G_F^2}{\pi} s \xrightarrow{LAB} \frac{G_F^2}{\pi} 2E_\nu m_e$$

$$\sigma_{LAB} = 1.7 \times 10^{-41} E_\nu [\text{GeV}] \text{ cm}^2$$

extremely small  
study  $\nu$  scattering off nuclei  
much larger cross sections

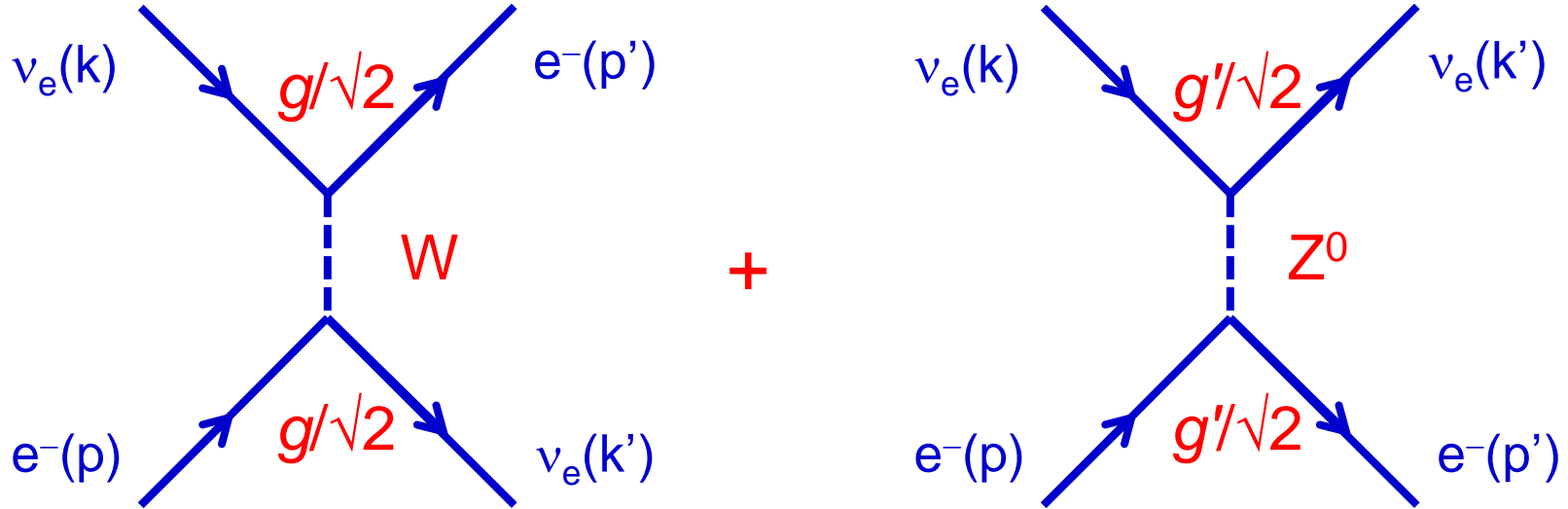
angular distribution shows directly the V–A structure  
**isotropic!** (angular momentum conservation)

$\sigma$  grows linearly with  $E_\nu$  indefinitely! (no propagator)

(in L7 we arrived at this conclusion using dimensional arguments only)

# $\nu_e e^- \rightarrow e^- \nu_e$ Scattering

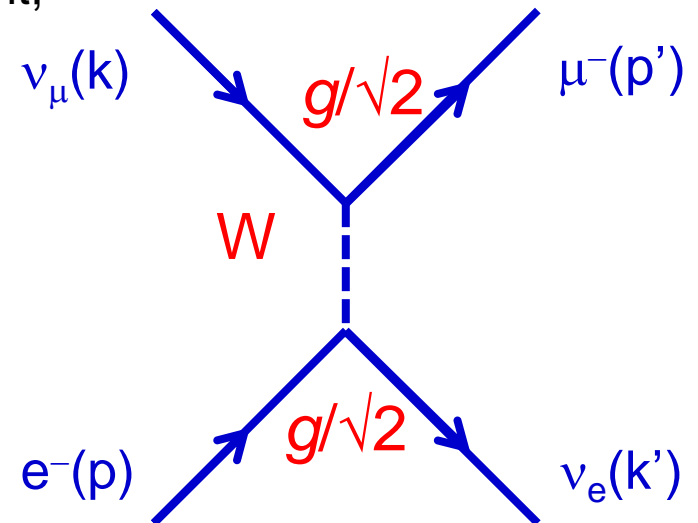
To be complete, have to consider also **neutral currents** ...



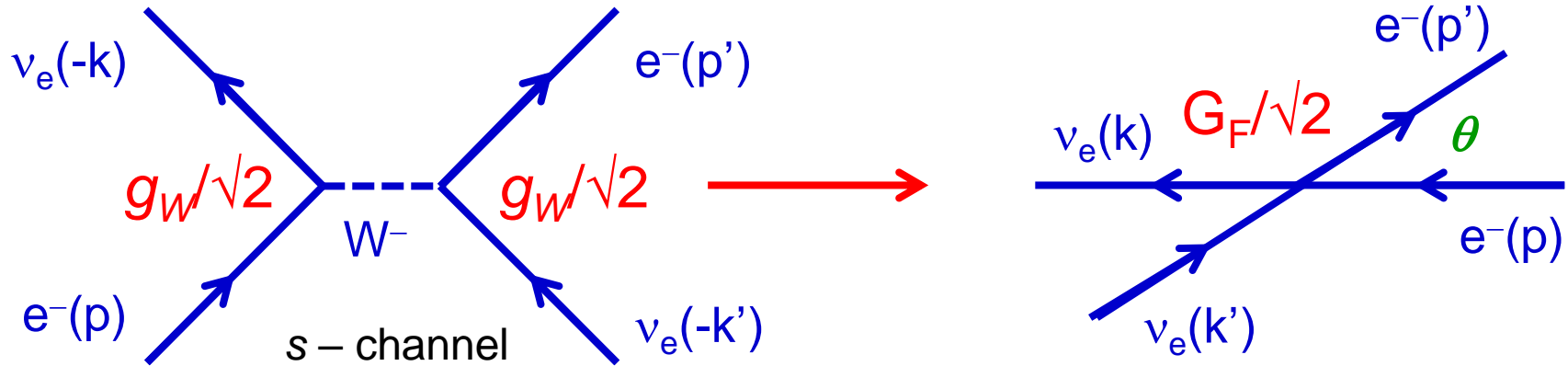
The neutral current interferes with the charged current; to obtain the amplitude for  $M(\nu_e e^- \rightarrow \nu_e e^-)$  we have to add both diagrams:

$$M = M^{\text{CC}}(\nu_e e^- \rightarrow e^- \nu_e) + M^{\text{NC}}(\nu_e e^- \rightarrow \nu_e e^-)$$

In practice high energy neutrino beams are obtained from charged pion decays:  $\pi^+ \rightarrow \mu^+ \nu_\mu$ . Neutrino beams are composed mainly of muon neutrinos (99%) and  $\nu_\mu e^- \rightarrow \mu^- \nu_e$  scattering proceeds via charged current interactions only.



# Charged Current $\bar{\nu}_e e^- \rightarrow \bar{\nu}_e e^-$ Scattering



obtained by crossing CC t-channel  $\nu e \rightarrow \nu e$  scattering amplitude

$$M(k, p, k', p') = M(-k', p, -k, p') \quad s \leftrightarrow t$$

$$\langle |M|^2 \rangle = \dots = 16G_F^2 t^2 \quad t \approx -\frac{1}{2}s(1 + \cos \vartheta)$$

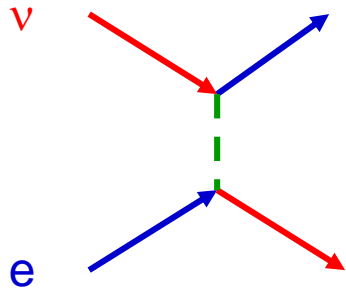
$$= 4G_F^2 s^2 (1 + \cos \vartheta)^2 \quad \text{max for } \theta = 0, \text{ min for } \theta = 180^\circ$$

$$\frac{d\sigma}{d\Omega}(\bar{\nu}e) = \frac{1}{64\pi^2 s} \frac{|\vec{p}_f|}{|\vec{p}_i|} \langle |M|^2 \rangle = \frac{G_F^2}{16\pi^2} s(1 + \cos \vartheta)^2 \quad \text{angular dependence !}$$

$$\sigma(\bar{\nu}e) = \frac{1}{3} \frac{G_F^2}{\pi} s \xrightarrow{LAB} \frac{1}{3} \frac{G_F^2}{\pi} 2E_\nu m_e \quad \sigma(\bar{\nu}e) = \frac{1}{3} \sigma(\nu e)$$

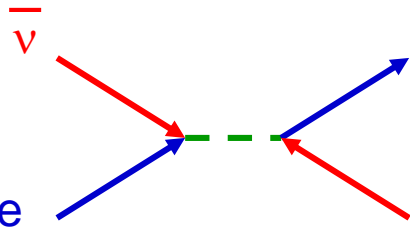
# Helicity Considerations

weak interactions couple to  
left-handed ( $\sim\lambda = -1$ ) particles and  
right-handed ( $\sim\lambda = +1$ ) anti-particles



same handedness

no net spin along beam direction  
→ isotropic distribution: 1

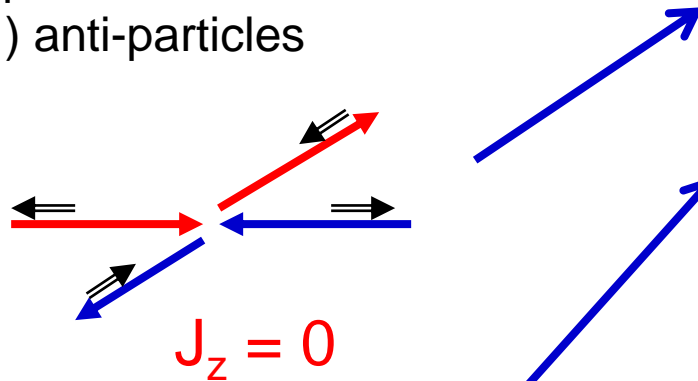


opposite handedness

net spin  $J_z = +1$  or  $-1$  (only 1 possibility out of 3)

→ scattering amplitude:  $y = 1 - \frac{p \cdot k'}{p \cdot k} \cong \frac{1}{2}(1 - \cos \vartheta)$

$y$  measures the energy transfer at the interaction vertex ( $\approx E_W / E_\nu$ )



$$\frac{d\sigma(\nu e)}{dy} = \frac{G_F^2}{\pi} s$$

$$\frac{d\sigma(\bar{\nu} e)}{dy} = \frac{G_F^2}{\pi} s(1-y)^2$$

$$\frac{\sigma(\bar{\nu})}{\sigma(\nu)} = \frac{1}{3}$$

Recall  $e\mu \rightarrow e\mu$  scattering

$$\frac{d\sigma(e\mu \rightarrow e\mu)}{dQ^2} = \frac{2\pi\alpha^2}{Q^4} s \left[ 1 + (1-y)^2 \right]$$

isotropic, parallel helicities  $J = 0$   
antiparallel helicities  $J = 1$

# Neutral Currents

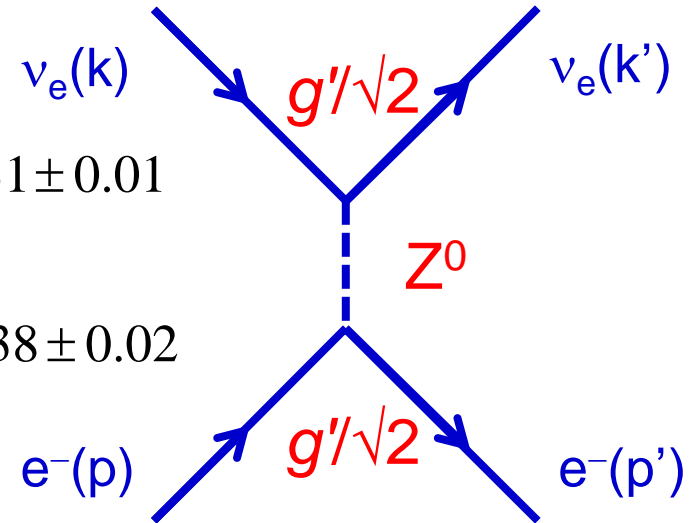
1973 experimental birth of Standard Model

$$\bar{\nu}_\mu e \rightarrow \bar{\nu}_\mu e \quad R_\nu = \frac{\sigma^{NC}(\nu)}{\sigma^{CC}(\nu)} = \frac{\sigma(\nu_\mu N \rightarrow \nu_\mu X)}{\sigma(\nu_\mu N \rightarrow \mu^- X)} \approx 0.31 \pm 0.01$$

$$\nu_\mu N \rightarrow \nu_\mu X$$

$$\bar{\nu}_\mu N \rightarrow \bar{\nu}_\mu X \quad R_{\bar{\nu}} = \frac{\sigma^{NC}(\bar{\nu})}{\sigma^{CC}(\bar{\nu})} = \frac{\sigma(\bar{\nu}_\mu N \rightarrow \bar{\nu}_\mu X)}{\sigma(\bar{\nu}_\mu N \rightarrow \mu^+ X)} \approx 0.38 \pm 0.02$$

First evidence of a weak neutral current



NC anticipated by Glashow in 1961

Until then no weak neutral current effects have been observed

Note: no flavor change at the vertex, NC conserve flavor!

Very stringent limits on (flavor changing) neutral currents by the absence of decays

$$K^0 \rightarrow \mu^+ \mu^- \quad BR = 7 \times 10^{-9}$$

$$K^+ \rightarrow \pi^+ \mu^+ \mu^- \quad BR < 4 \times 10^{-11}$$

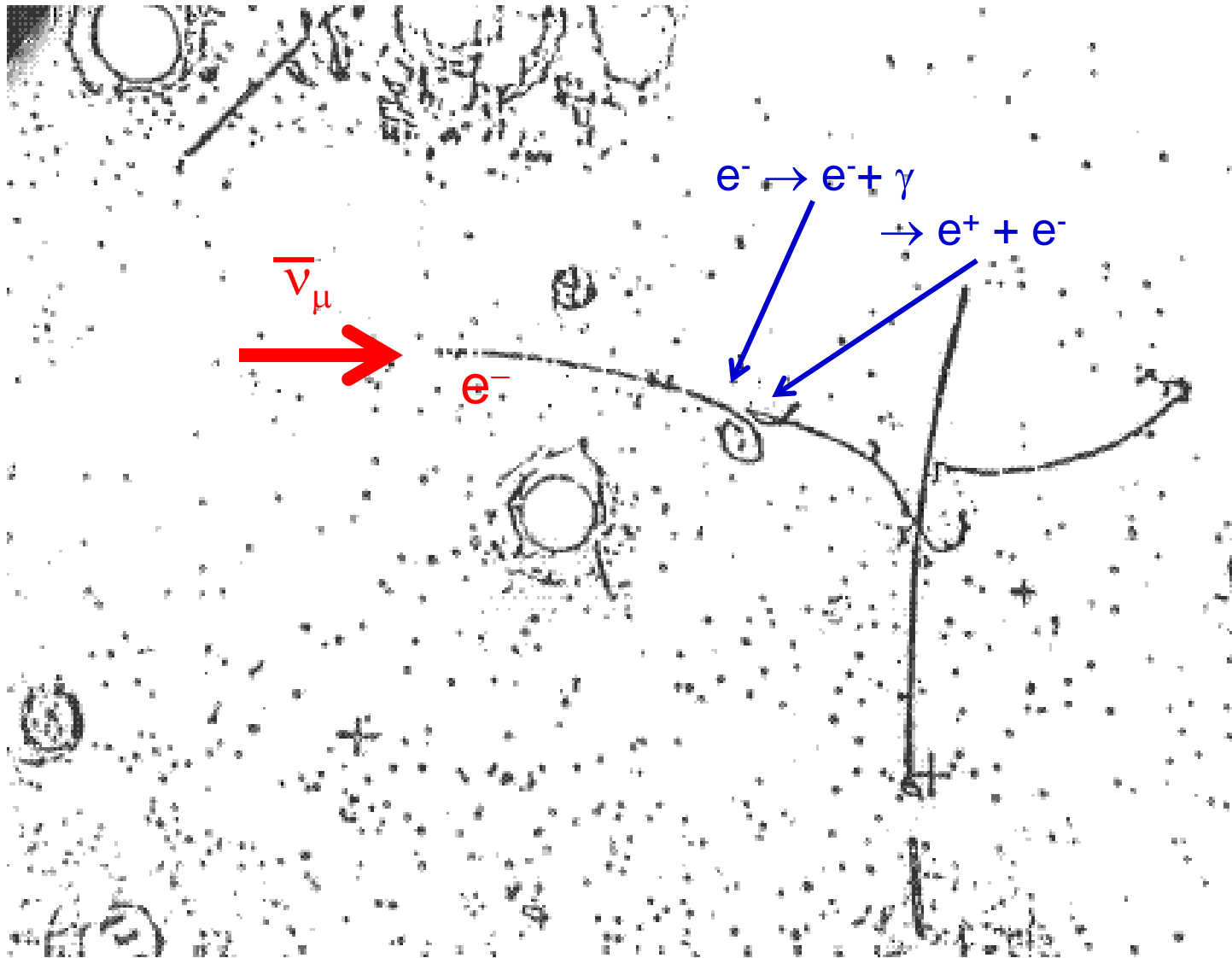
These small (non-zero!) branching ratios explained well by SM (GIM mechanism), also:

$$B_s^0 \rightarrow \mu^+ \mu^- \quad BR = 3 \times 10^{-9}$$

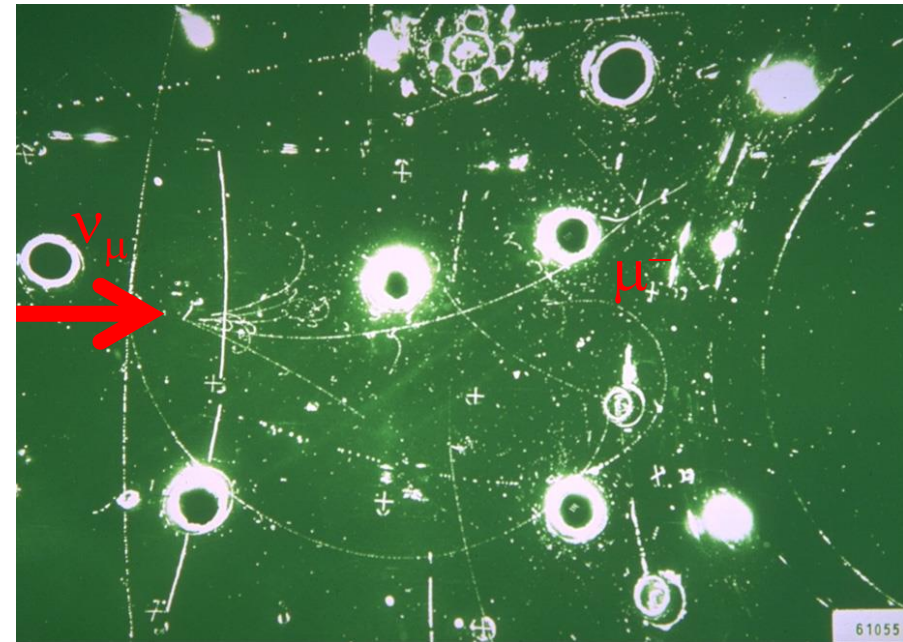
However in  $\nu e$ ,  $\nu q$  scattering NC events are as abundant as CC events, difficult to detect isolated electron, study on nuclear targets.



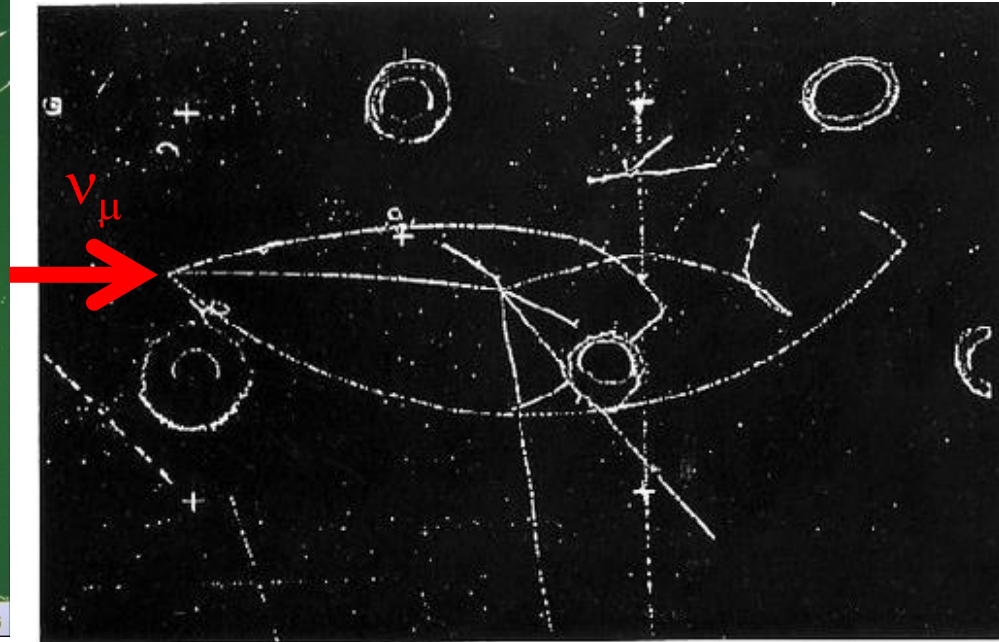
# Neutral Currents: $\bar{\nu}_\mu e^- \rightarrow \bar{\nu}_\mu e^-$ Scattering



# CC and NC – $\nu N$ Scattering



one lepton ( $\mu^-$ ) detected  
all other particles identified as hadrons



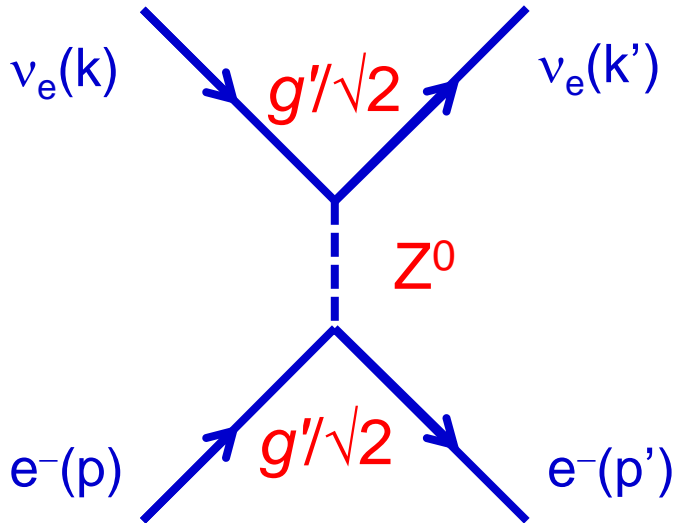
all particles identified as hadrons  
no leptons detected!

$$R_\nu = \frac{\sigma^{NC}(\nu)}{\sigma^{CC}(\nu)} = \frac{\sigma(\nu_\mu N \rightarrow \nu_\mu X)}{\sigma(\nu_\mu N \rightarrow \mu^- X)} \approx 0.31 \pm 0.01$$

$$R_{\bar{\nu}} = \frac{\sigma^{NC}(\bar{\nu})}{\sigma^{CC}(\bar{\nu})} = \frac{\sigma(\bar{\nu}_\mu N \rightarrow \bar{\nu}_\mu X)}{\sigma(\bar{\nu}_\mu N \rightarrow \mu^+ X)} \approx 0.38 \pm 0.02$$

almost as abundant as CC

# NC Scattering Amplitude



develop in analogy to CC at low  $q^2 \ll M_Z^2$

a priori:

- i) not necessarily pure V – A, what structure?
- ii) can have right handed components (not for  $\nu$ )  
try  $c_V V - c_A A$  ( $c_V$  and  $c_A$  from experiment)
- iii) new coupling  $g'$ , new massive neutral boson
- iv) no flavor change at the interaction vertex  $\delta_{ff}$

$$M^{NC} = \frac{g'}{\sqrt{2}} \left( \bar{u}_e \gamma^\mu \frac{1}{2} (c_V^e - c_A^e \gamma^5) u_e \right) \frac{g_{\mu\nu} - q_\mu q_\nu / M_Z^2}{q^2 - M_Z^2} \frac{g'}{\sqrt{2}} \left( \bar{u}_\nu \gamma_\mu \frac{1}{2} (c_V^\nu - c_A^\nu \gamma^5) u_\nu \right)$$

effective 4-fermion theory as for CC with new coupling constant  $G_{NC} / \sqrt{2} = g'^2 / 8 M_Z^2$   
and  $c_V^\nu = c_A^\nu = 1/2$  (neutrinos are left-handed) [in a V + A theory  $c_V^\nu = -c_A^\nu = 1/2$ ]

$$M^{NC} = \frac{4G_{NC}}{\sqrt{2}} \underbrace{2 \left( \bar{u}_e \gamma^\mu \frac{1}{2} (c_V^e - c_A^e \gamma^5) u_e \right)}_{(J^{NC})_\mu (e)} \underbrace{\frac{1}{2} \left( \bar{u}_\nu \gamma_\mu \frac{1}{2} (1 - \gamma^5) u_\nu \right)}_{(J^{NC})_\mu (\nu)}$$

$(J^{NC})_\mu (e)$

$(J^{NC})_\mu (\nu)$

neutrino neutral current  $J_{\mu}^{NC}(\nu) = \frac{1}{2} \left[ \bar{u}_{(\nu)} \gamma_{\mu} \frac{1}{2} (1 - \gamma^5) u_{(\nu)} \right]$

electron neutral current  $J^{NC\mu}(e) = \left[ \bar{u}_{(e)} \gamma^{\mu} \frac{1}{2} (c_V^e - c_A^e \gamma^5) u_{(e)} \right]$

“point-like” interaction of two neutral currents  $(J^{NC})^{\mu}(e)$  and  $(J^{NC})_{\mu}(\nu)$

$$M^{NC} = \frac{4G_F}{\sqrt{2}} 2\rho J_{\mu}^{NC}(e) J^{NC\mu}(\nu) \quad \rho = \frac{G_{NC}}{G_F} \approx 1.010 \pm 0.015 = 1 \quad (\text{SM})$$

$\rho$  determines the relative strength of NC to CC, in the SM  $\rho = 1$

In the SM all  $c_V^i$  and  $c_A^i$  are given in terms of one parameter, the electroweak mixing **Weinberg angle**  $\theta_W$

$$\tan \mathcal{G}_W = g' / g \quad e = g \cdot \sin \mathcal{G}_W = g' \cdot \cos \mathcal{G}_W$$

$\theta_W$  measures the relative strength of CC and NC couplings with  $\rho = \frac{M_W^2}{M_Z^2 \cos^2 \mathcal{G}_W} = 1$

$$c_V^e = -1/2 + 2\sin^2\theta_W \quad c_A^e = -1/2$$

(all this will be developed in L11)

In summary, we have a basis for calculating NC amplitudes.

From now on, assume  $\rho = 1$  and  $G_{NC} = G_F$ . The only unknowns are  $c_V^e$  and  $c_A^e$ .

# NC $\nu_e e^- \rightarrow \nu_e e^-$ Cross Sections

To start, let's consider  $\nu_\mu e^-$  or  $\nu_\tau e^-$  scattering (no CC channel!). The NC amplitude is

$$M^{NC}(\nu_\mu e^- \rightarrow \nu_\mu e^-) = \frac{4G_F}{\sqrt{2}} 2\rho \left( \bar{u}_e \gamma^\mu \frac{1}{2} (c_V^e - c_A^e \gamma^5) u_e \right) \frac{1}{2} \left( \bar{u}_\nu \gamma_\mu \frac{1}{2} (1 - \gamma^5) u_\nu \right)$$

Using the CC current results

$$\frac{d\sigma(\nu_e e^- \rightarrow e^- \nu_e)}{dy} = \frac{G_F^2}{\pi} s \quad \text{and} \quad \frac{d\sigma(\bar{\nu}_e e^- \rightarrow \bar{\nu}_e e^-)}{dy} = \frac{G_F^2}{\pi} s(1-y)^2$$

("left-handed")

("right-handed")

we obtain directly

$$\frac{d\sigma^{NC}(\nu_\mu e^- \rightarrow \nu_\mu e^-)}{dy} = \frac{G_F^2 s}{4\pi} \left[ \underbrace{(c_V^e + c_A^e)^2}_{C_L} + \underbrace{(c_V^e - c_A^e)^2}_{C_R} (1-y)^2 \right]$$

and after integrating over  $y$  (or  $d \cos\theta$ )

$$\sigma^{NC}(\nu_\mu e^- \rightarrow \nu_\mu e^-) = \frac{G_F^2 s}{3\pi} \left[ (c_V^e)^2 + c_V^e c_A^e + (c_A^e)^2 \right]$$

$$\sigma^{NC}(\bar{\nu}_\mu e^- \rightarrow \bar{\nu}_\mu e^-) = \frac{G_F^2 s}{3\pi} \left[ (c_V^e)^2 - c_V^e c_A^e + (c_A^e)^2 \right]$$

And now, we can derive the full  $\nu_e e^-$  scattering amplitude!

Both the CC (W exchange) and NC (Z exchange) channels contribute:

add the amplitudes  $M = M^{\text{CC}}(\nu_e e^- \rightarrow e^- \nu_e) + M^{\text{NC}}(\nu_e e^- \rightarrow \nu_e e^-)$

$$M(\nu_e e^- \rightarrow \nu_e e^-) = \frac{4G_F}{\sqrt{2}} \left( \bar{u}_e \gamma^\mu \frac{1}{2} (1 - \gamma^5) u_e \right) \left( \bar{u}_\nu \gamma_\mu \frac{1}{2} (1 - \gamma^5) u_\nu \right) + \text{CC}$$

$$\frac{4G_F}{\sqrt{2}} 2\rho \left( \bar{u}_e \gamma^\mu \frac{1}{2} (c_V^e - c_A^e \gamma^5) u_e \right) \frac{1}{2} \left( \bar{u}_\nu \gamma_\mu \frac{1}{2} (1 - \gamma^5) u_\nu \right) \text{NC}$$

Adding the amplitudes ( $\rho = 1$  and  $G_{\text{NC}} = G_F$ )

$$M(\nu_e e^- \rightarrow \nu_e e^-) = \frac{4G_F}{\sqrt{2}} \left( \bar{u}_e \gamma^\mu \frac{1}{2} (c_V^e + 1 - (c_A^e + 1)\gamma^5) u_e \right) \left( \bar{u}_\nu \gamma_\mu \frac{1}{2} (1 - \gamma^5) u_\nu \right)$$

(i.e. equivalent to replace  $c_V^e \rightarrow c_V^e + 1$  and  $c_A^e \rightarrow c_A^e + 1$  in the NC amplitude)

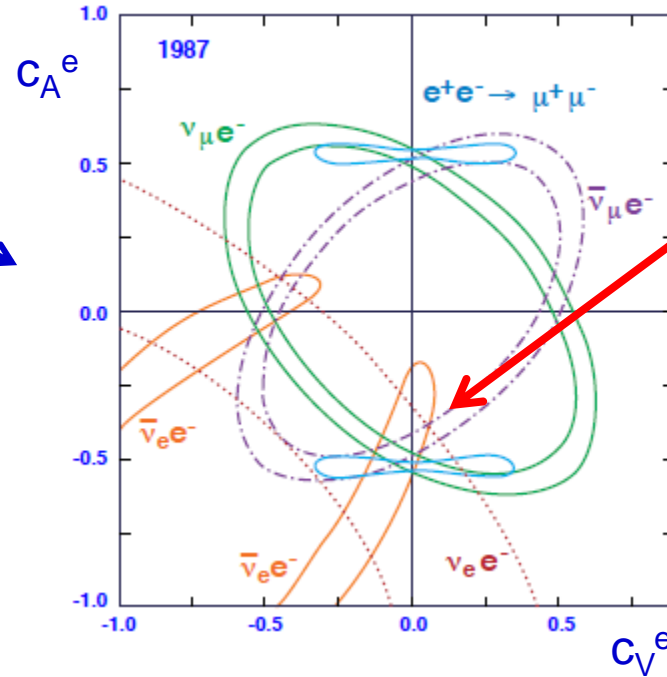
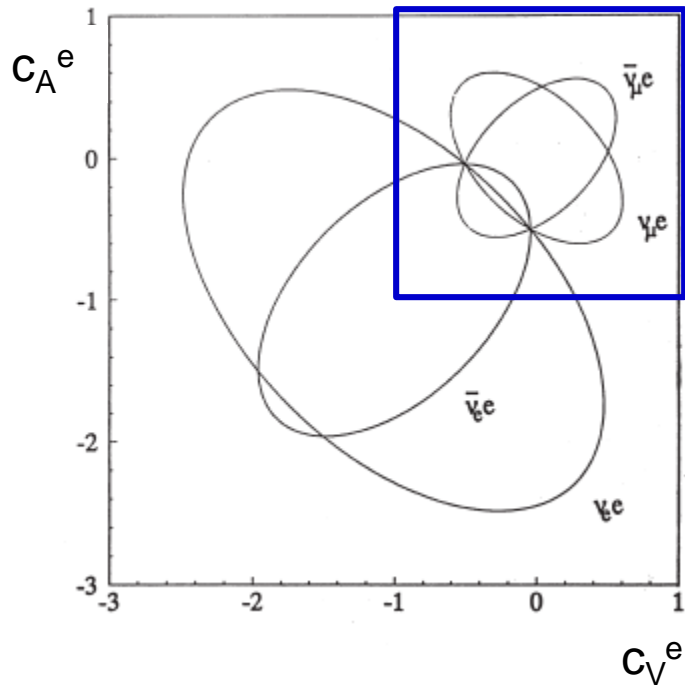
finally leads to

$$\frac{d\sigma(\nu_e e^- \rightarrow \nu_e e^-)}{dy} = \frac{G_F^2 s}{4\pi} \left[ (c_V^e + c_A^e + 2)^2 + (c_V^e - c_A^e)^2 (1 - y)^2 \right]$$

$$\sigma(\nu_e e^- \rightarrow \nu_e e^-) = \frac{G_F^2 s}{4\pi} \left[ (c_V^e + c_A^e + 2)^2 + \frac{1}{3} (c_V^e - c_A^e)^2 \right]$$

equation of an ellipse in  $(c_V, c_A)$

# NC Parameters



only one point where all 5 “ellipses” overlap

In the Standard Model all  $c_V^i$  and  $c_A^i$  ( $i = v, l, u$  or  $d$  quarks) expressed in terms of 1 parameter  $\theta_W$

$$c_V^e = -1/2 + 2\sin^2\theta_W \quad c_V^{\nu} = +1/2$$

$$c_A^e = -1/2 \quad c_A^{\nu} = +1/2$$

$c_V^i$  and  $c_A^i$  are determined experimentally (including  $e^+e^-$  scattering at the  $Z^0$  peak)

$$c_V^l = -0.03772 \pm 0.00041$$

$$c_A^l = -0.50117 \pm 0.00027$$

$$c_V^{\nu} = c_A^{\nu} = +0.50085 \pm 0.00075$$





# CC $\nu$ - $q$ Cross Sections

Follow the same arguments as for  $\nu$   $e$  scattering,  
assume same coupling for quarks and for leptons

$$M = \frac{4G_F}{\sqrt{2}} \left( \bar{u}_{(\mu)} \gamma^\mu \frac{1}{2} (1 - \gamma^5) u_{(\nu)} \right) \left( \bar{u}_{(u)} \gamma_\mu \frac{1}{2} (1 - \gamma^5) V_{ud} u_{(d)} \right)$$

$J_\mu^\dagger$  – charge lowering weak current

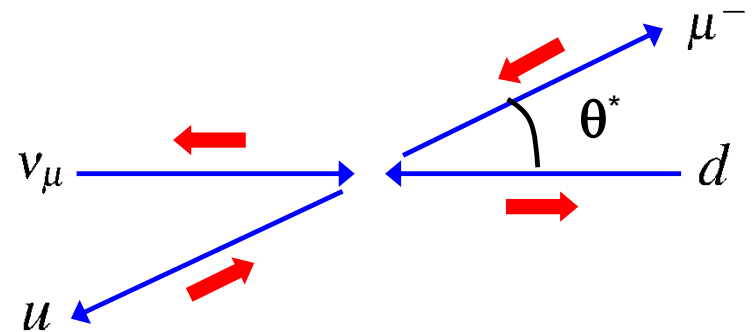
$J^\mu$  – charge raising weak current

$$\frac{d\sigma(\nu_\mu d \rightarrow \mu^- u)}{d\Omega} = \frac{G_F^2 V_{ud}^2}{4\pi^2} \hat{s}$$

$$\frac{d\sigma(\bar{\nu}_\mu u \rightarrow \mu^+ d)}{d\Omega} = \frac{G_F^2 V_{ud}^2}{16\pi^2} \hat{s} (1 + \cos \vartheta)^2$$

$$\frac{d\sigma(\bar{\nu}_\mu \bar{d} \rightarrow \mu^+ \bar{u})}{d\Omega} = \frac{G_F^2 V_{ud}^2}{4\pi^2} \hat{s}$$

$$\frac{d\sigma(\nu_\mu \bar{u} \rightarrow \mu^- \bar{d})}{d\Omega} = \frac{G_F^2 V_{ud}^2}{16\pi^2} \hat{s} (1 + \cos \vartheta)^2$$



# Summary $\nu$ - $q$ Scattering

$S_z = 0$	$S_z = +1$	$S_z = -1$	$S_z = 0$
$\frac{d\sigma_{\nu q}}{d\Omega^*} = \frac{G_F^2}{4\pi^2} \hat{s}$	$\frac{d\sigma_{\bar{\nu} q}}{d\Omega^*} = \frac{G_F^2}{16\pi^2} (1 + \cos\theta^*)^2 \hat{s}$	$\frac{d\sigma_{\nu \bar{q}}}{d\Omega^*} = \frac{G_F^2}{16\pi^2} (1 + \cos\theta^*)^2 \hat{s}$	$\frac{d\sigma_{\bar{\nu} \bar{q}}}{d\Omega^*} = \frac{G_F^2}{4\pi^2} \hat{s}$
$\sigma_{\nu q} = \frac{G_F^2 \hat{s}}{\pi}$	$\sigma_{\bar{\nu} q} = \frac{G_F^2 \hat{s}}{3\pi}$	$\sigma_{\nu \bar{q}} = \frac{G_F^2 \hat{s}}{3\pi}$	$\sigma_{\bar{\nu} \bar{q}} = \frac{G_F^2 \hat{s}}{\pi}$

isotropic

no backward sc.

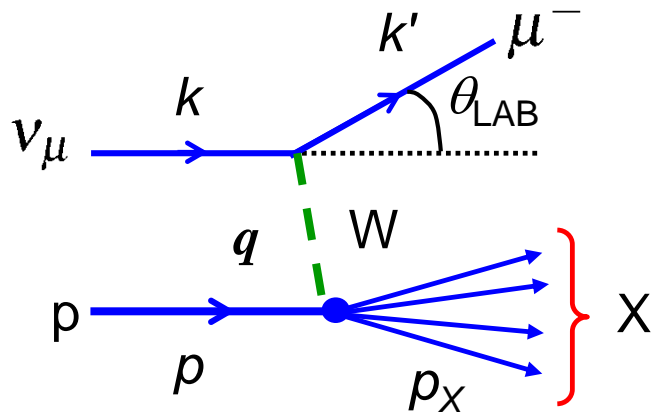
no backward sc.

isotropic

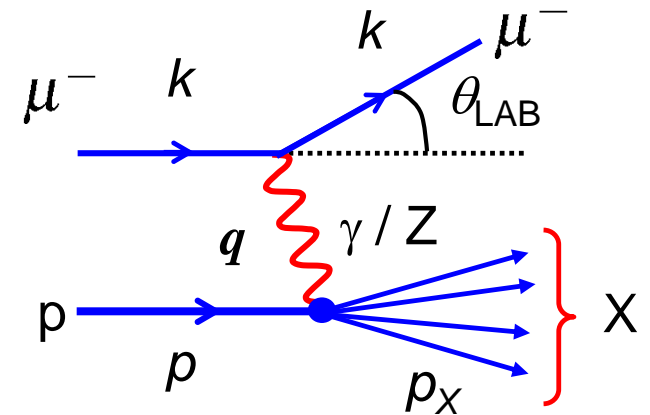
# CC $\nu$ -N Scattering

To study  $\nu$ -q scattering study  $\nu$ -N interactions:

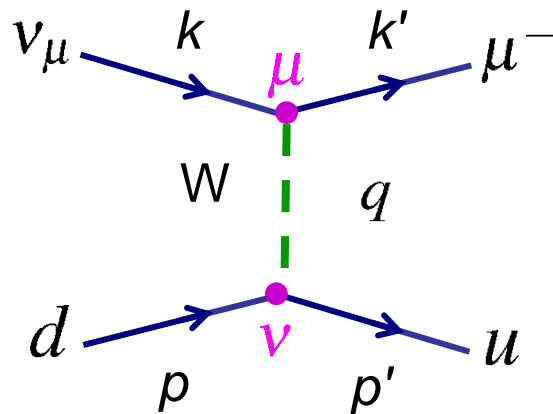
- quarks embedded in nucleons, similar formalism to  $\mu$ -DIS
- additional information on parton distribution functions (**quark's flavor!**)
- $\nu$  beams mainly  $\nu_\mu$  from  $\pi$  decays produced at accelerators by a high intensity p beam



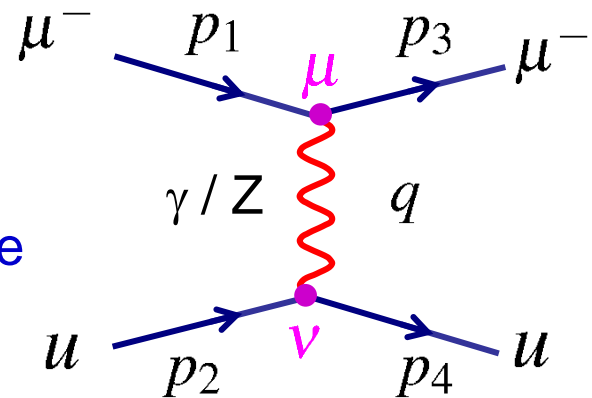
cfr. E.M.  
1  $\gamma$  exchange



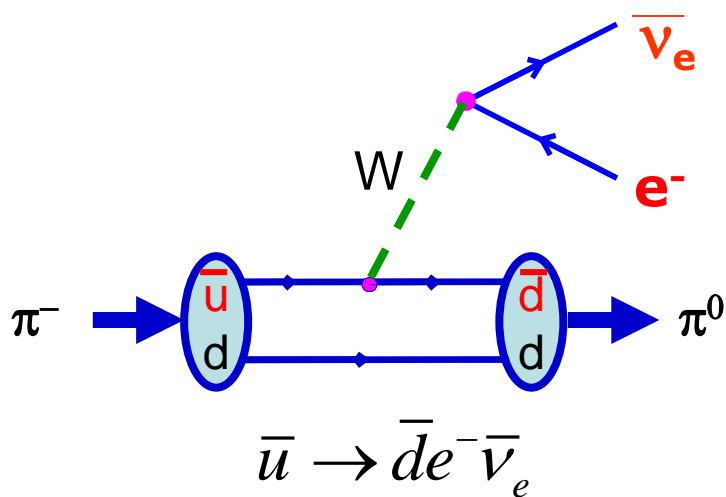
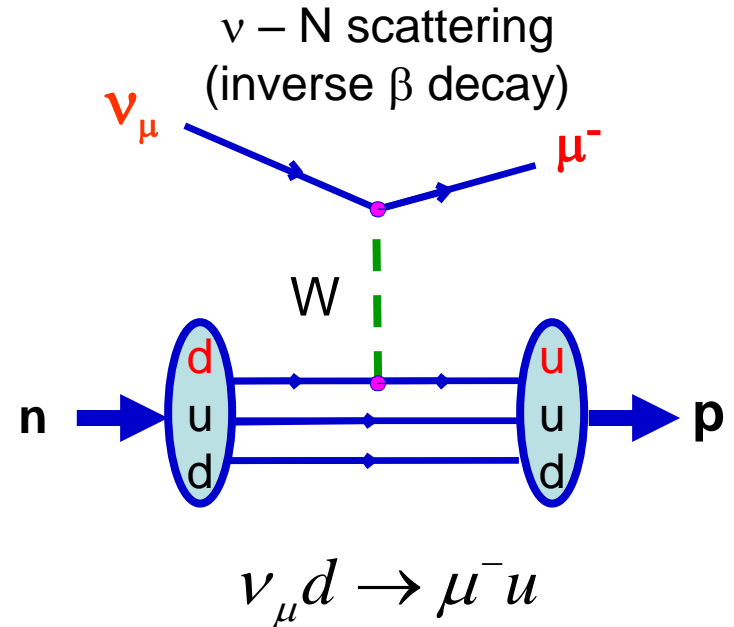
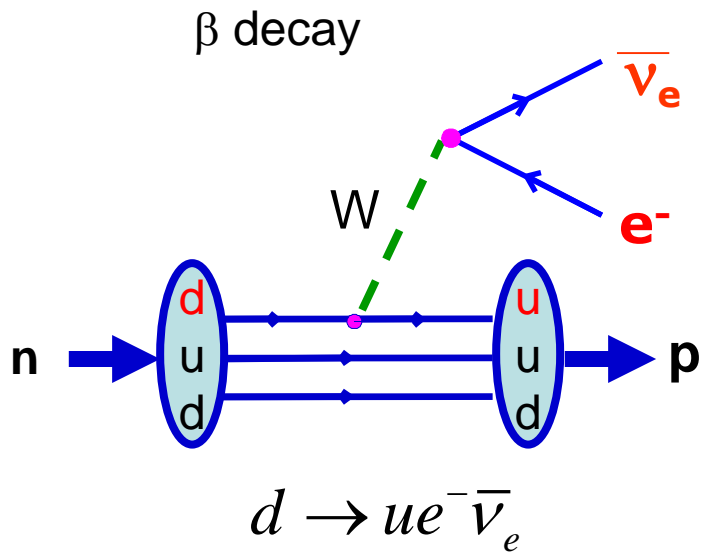
Quarks embedded in nucleons, describe  $\nu$ -N in terms of  $\nu$ -q scattering.



large  $Q^2$   
quarks are  $\sim$ free



$$M = \frac{4G_F}{\sqrt{2}} \left( \bar{u}_{(\mu)} \gamma^\mu \frac{1}{2} (1 - \gamma^5) u_{(\nu)} \right) \left( \bar{u}_{(u)} \gamma_\mu \frac{1}{2} (1 - \gamma^5) V_{ud} u_{(d)} \right)$$



$$\Gamma(\pi^- \rightarrow \pi^0 e^- \bar{\nu}_e) = \frac{G_F^2}{30\pi^3} (\Delta m)^5$$

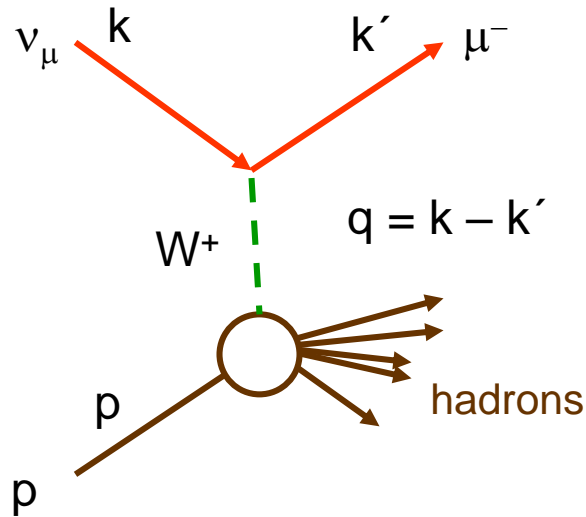
$$BR \sim 1 \times 10^{-8}$$

Different from  $\pi^- \rightarrow \mu^- \nu_\mu$  decay, in this case the d quark is a spectator and we can treat the  $\bar{u}$  quark as free

# $\nu - N$ Scattering

The energy of the incoming  $\nu_\mu$  beam not well determined

Measure scattered  $\mu$  energy  $E_\mu$  and angle  $\theta$  and (possibly) all the final state hadrons (calorimeter)



infer  $\nu_\mu$  energy from scattered lepton plus final state hadron system (X)

$$E_\nu = E_\mu + E_{had}$$

kinematical variables

$$Q^2 = -q^2 = 4(E_{had} + E_\mu)E_\mu \sin^2 \frac{\mathcal{G}}{2}$$

$$x = \frac{(E_{had} + E_\mu)E_\mu \sin^2 \frac{\mathcal{G}}{2}}{2M_N E_{had}}$$

$$\nu = E_\nu - E_\mu = E_{had}$$

$$y = \frac{E_{had}}{E_{had} + E_\mu} \cong \frac{1}{2}(1 - \cos \mathcal{G}^*)$$

$$W^2 = M_N^2 + 2M_N E_{had} + Q^2$$

# The Experiments

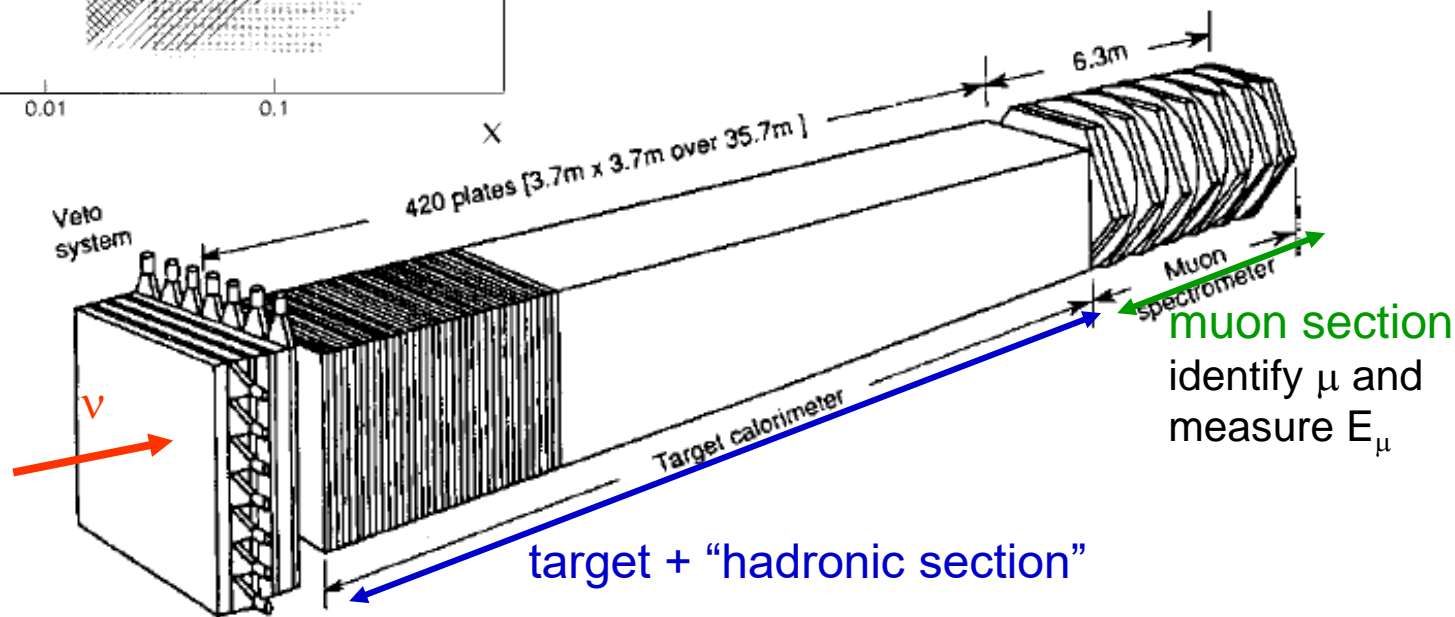
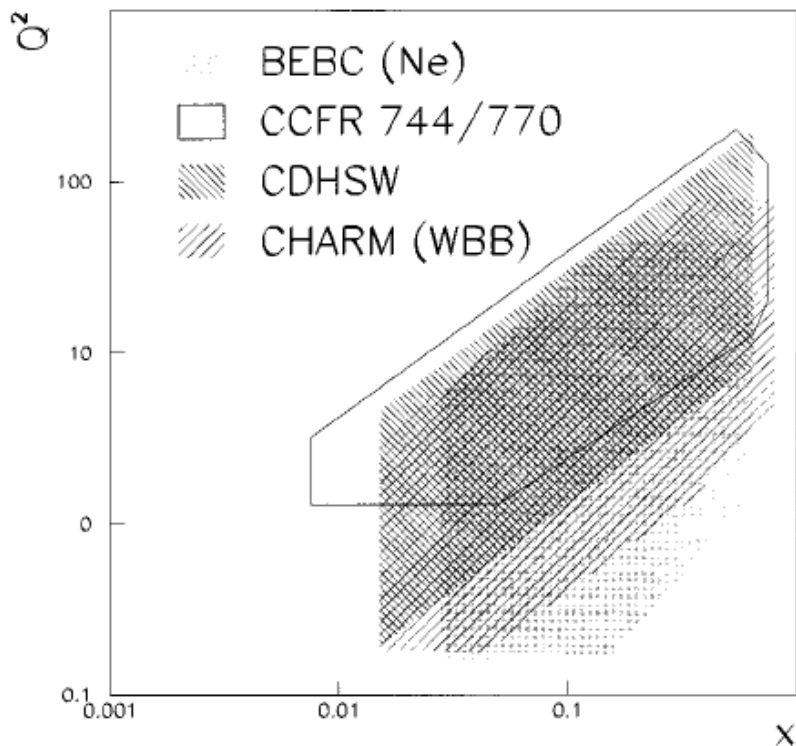
for a given  $E_\nu$ ,  
kinematical region bounded by

$$\frac{m_\mu^4}{8xME_\nu^2} \leq \nu \leq \frac{E_\nu}{(1+2Mx/E_\nu)} \rightarrow E_\nu,$$

$$\frac{m_\mu^4}{8xME_\nu^3} \leq y \leq \frac{1}{(1+2Mx/E_\nu)} \rightarrow 1,$$

$$\frac{m_\mu^4}{4E_\nu^2} \leq Q^2 \leq \frac{2ME_\nu x}{(1+2Mx/E_\nu)} \rightarrow 2ME_\nu x,$$

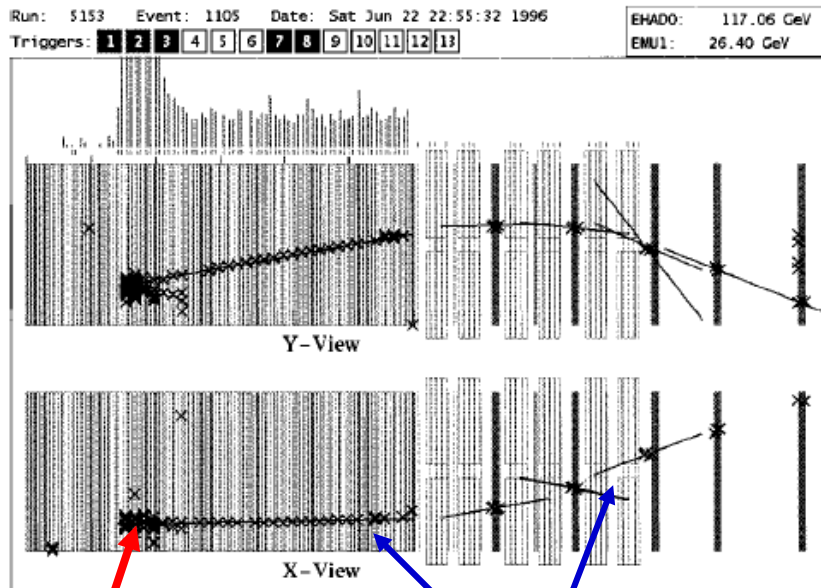
$$\frac{m_\mu^2}{2ME_\nu} \leq x \leq 1.$$



# Detection of a $\nu$ -N Events



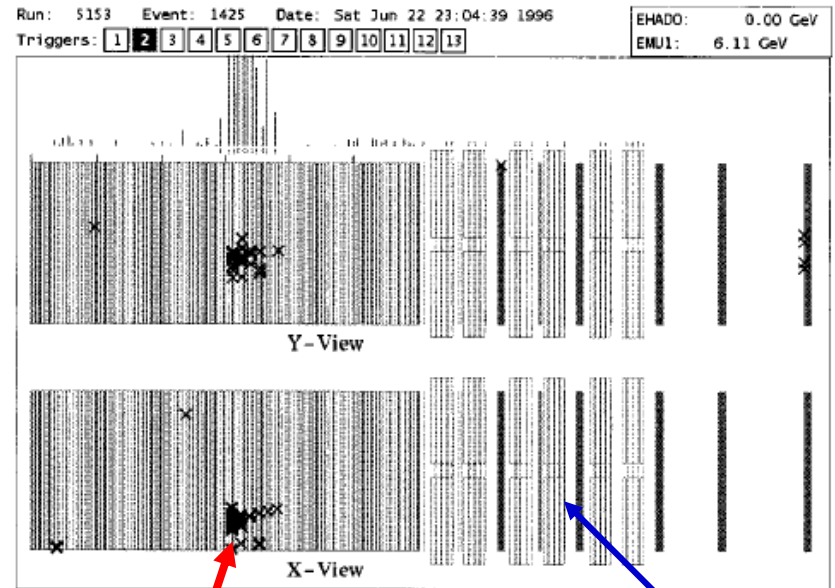
charged current event



hadronic shower

muon track

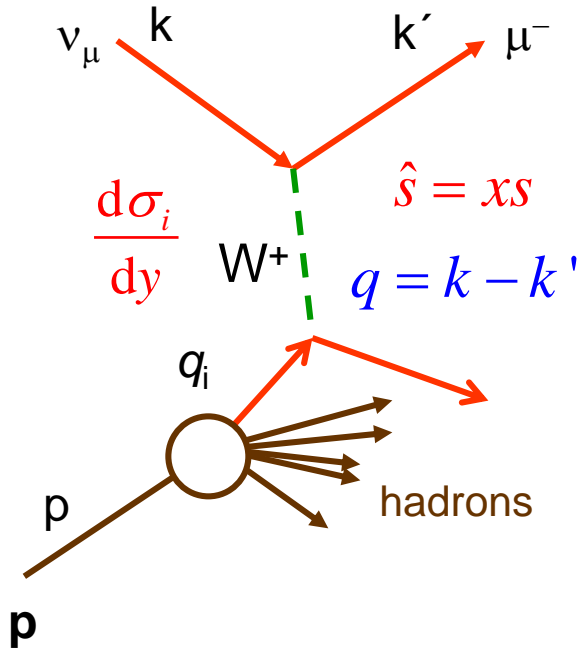
neutral current event



hadronic shower

no muon track

# $\nu$ -N cross section



$$\frac{d\sigma(\nu_\mu N \rightarrow \mu^- X)}{dx dy} = \sum_i f_i(x) \frac{d\sigma_i(\nu_\mu q_i \rightarrow \mu^- q_i')}{dy} \Big|_{\hat{s}=xs}$$

Let consider an isoscalar target (same # of p and n) and let  $Q(x)$  and  $\bar{Q}(x)$  represent the probability to find a quark or an anti-quark in the nucleon with momentum  $x$

$$Q(x) = d^p(x) + d^n(x) = d(x) + u(x)$$

$$\bar{Q}(x) = \bar{u}^p(x) + \bar{u}^n(x) = \bar{u}(x) + \bar{d}(x)$$

then

$$\frac{d\sigma(\nu_\mu N \rightarrow \mu^- X)}{dx dy} = \frac{G_F^2}{\pi} xs \frac{1}{2} \left[ Q(x) + (1-y)^2 \bar{Q}(x) \right]$$

and

$$\frac{d\sigma(\bar{\nu}_\mu N \rightarrow \mu^+ X)}{dx dy} = \frac{G_F^2}{\pi} xs \frac{1}{2} \left[ \bar{Q}(x) + (1-y)^2 Q(x) \right]$$

(origin of 1/2: we assumed an isoscalar target  $N = (p+n) / 2$ )

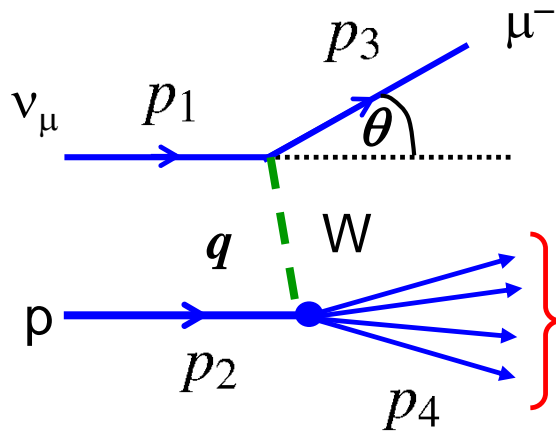
cfr. QED

$$\frac{d\sigma(e^\pm N \rightarrow e^\pm X)}{dx dy} = \frac{4\pi\alpha^2}{q^4} xs \frac{1}{2} \left[ 1 + (1-y)^2 \right] \frac{5}{18} \left[ Q(x) + \bar{Q}(x) \right]$$



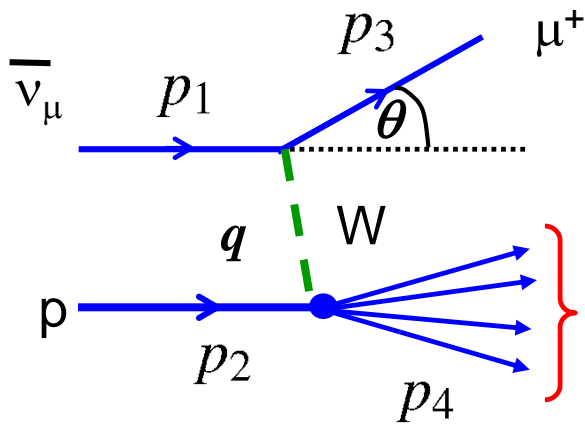
# Where are the $\bar{q}$ 's?

Study the angular distribution of the outgoing charged lepton in  $\nu$  - DIS scattering



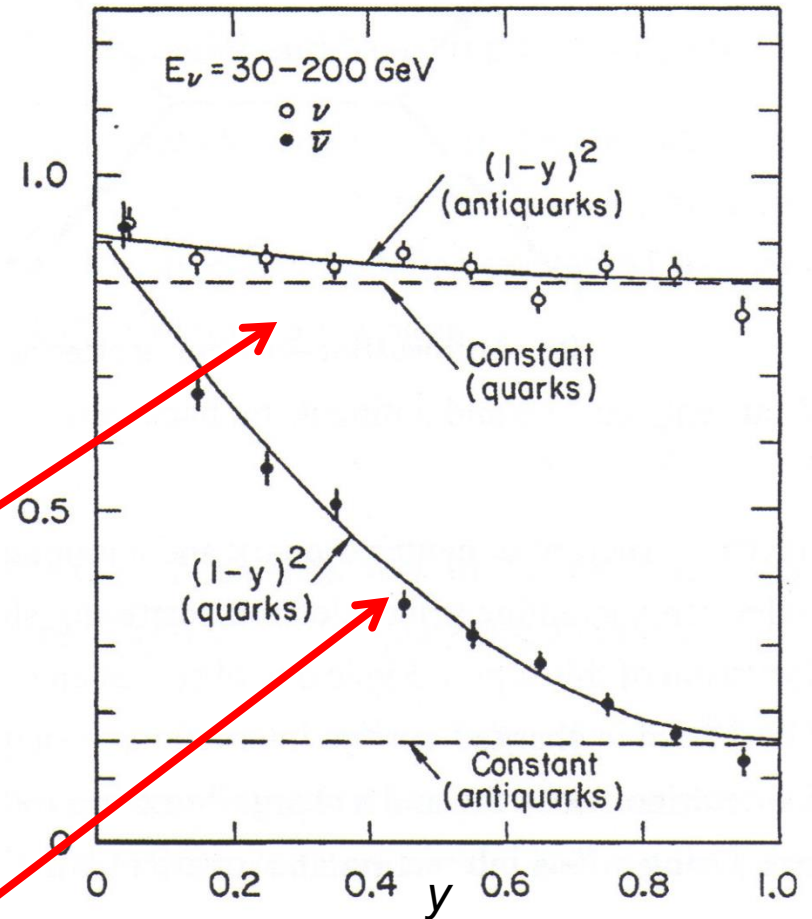
if only quarks

no  $y$  dependence  
(i.e. isotropic)



if only quarks

$\propto (1-y)^2$   
(i.e. no backward scattering)



$$\frac{d\sigma(\nu q)}{dy} = \frac{G_F^2}{\pi} s \quad \frac{d\sigma(\bar{\nu} q)}{dy} = \frac{G_F^2}{\pi} (1-y)^2 s$$

$$\frac{\sigma(\bar{\nu})}{\sigma(\nu)} = \frac{1}{3}$$

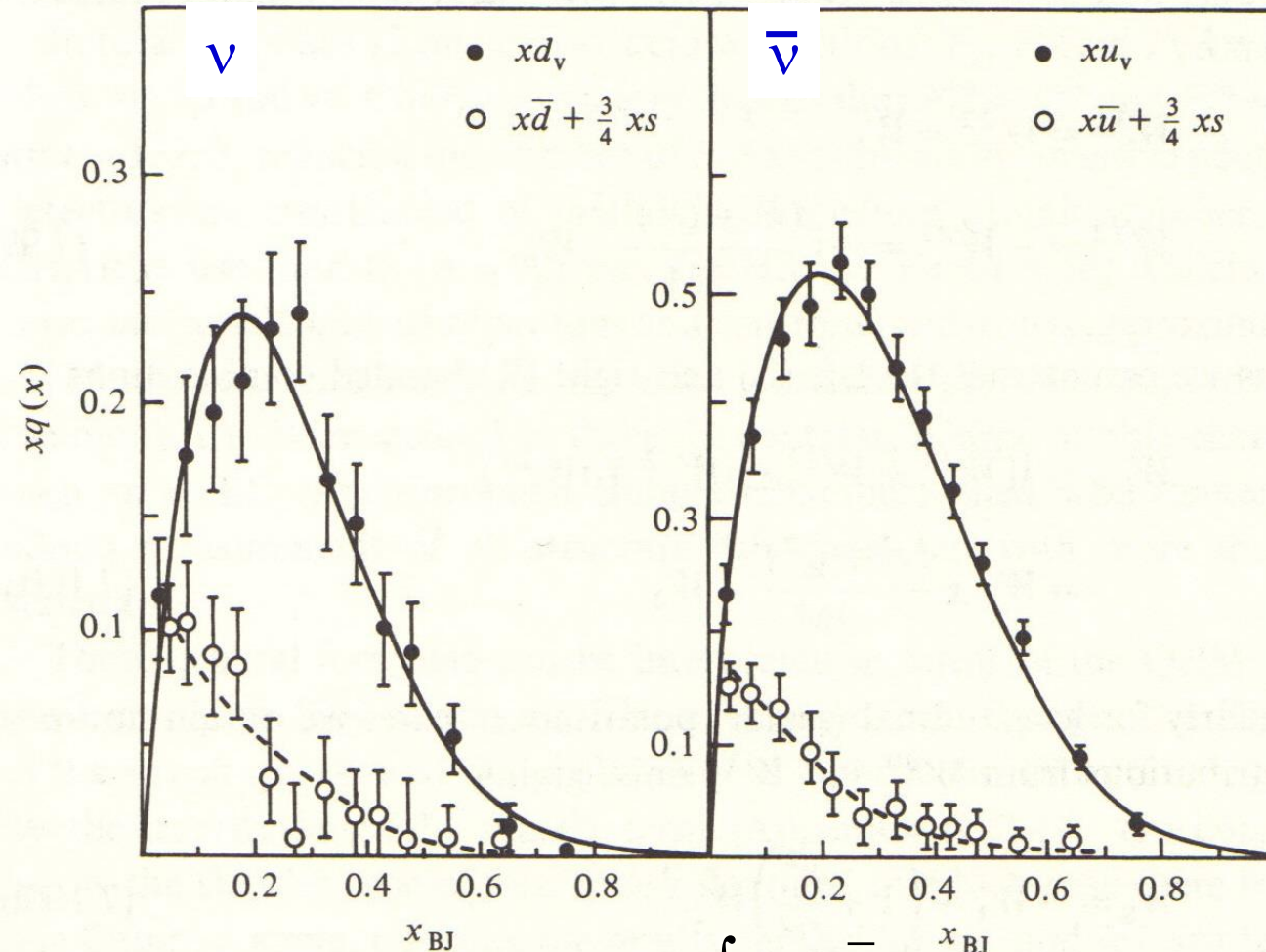
comparison of  $\nu$  and  $\bar{\nu}$  angular distribution allows one to separate of  $q$  and  $\bar{q}$ :  
one finds a small angular dependence in  $\nu N$  and a small flat component in  $\bar{\nu} N$

$\Rightarrow$  consistent with  $\sim 5\%$  antiquarks in nucleon

# Quark Distributions

Valence and Sea quark distributions

extracted from  $\nu$  (anti- $\nu$ ) D interactions ( $Q^2 \sim 5 \text{ GeV}^2$ )



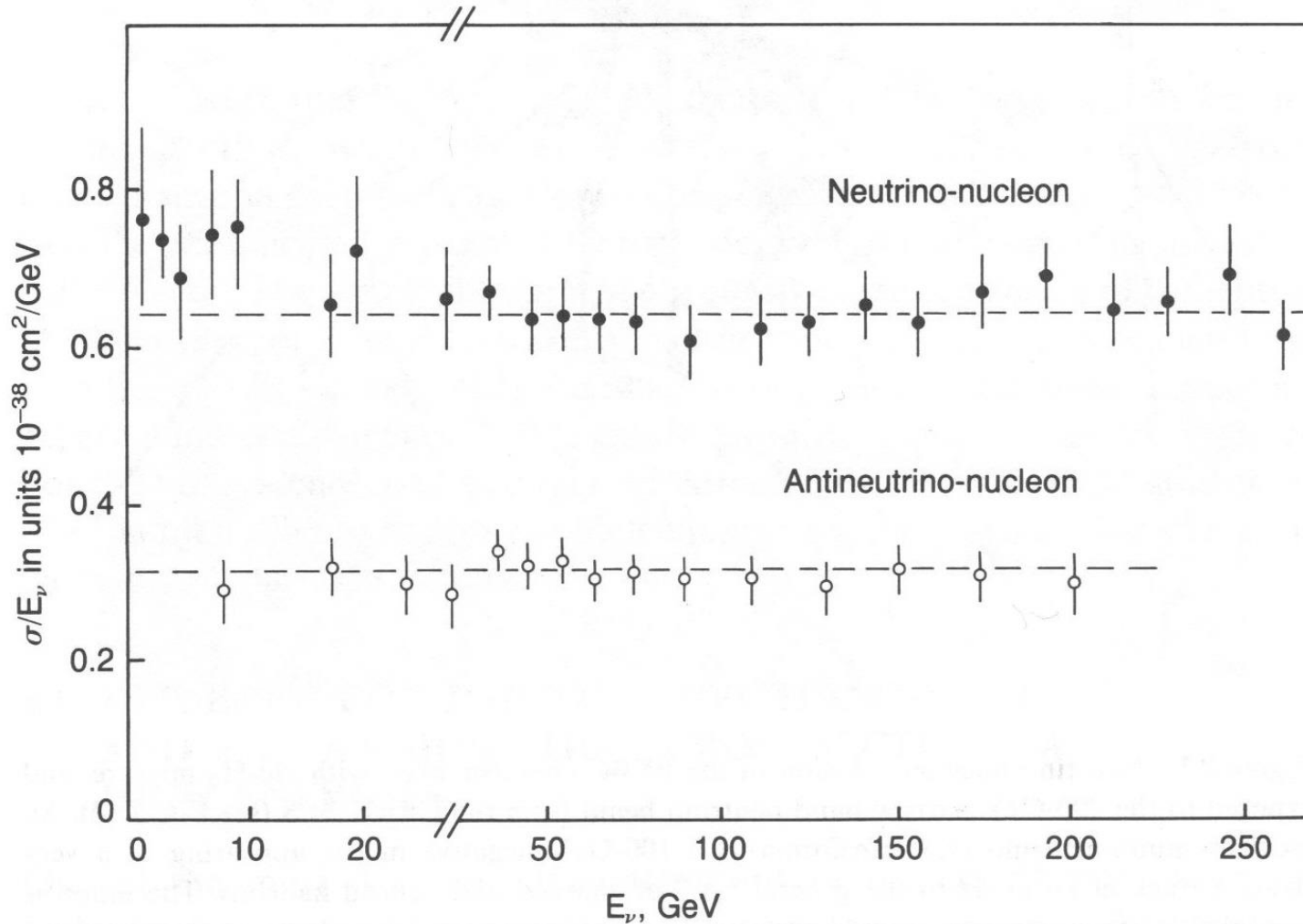
quark and anti-quark distributions at  $Q^2 \sim 10 \text{ GeV}^2$

$\sim 5\% \bar{Q}$  component in proton

In general, if  $\frac{\sigma(\bar{\nu})}{\sigma(\nu)} = R \Rightarrow \frac{\int dx x \bar{Q}(x)}{\int dx x Q(x)} = \frac{3R-1}{3-R}$

# $\nu - N$ Total Cross Section Data

$$\sigma^{\nu N} = \frac{G_F^2}{2\pi} s \left[ Q + \frac{1}{3} \bar{Q} \right] \quad \sigma^{\bar{\nu} N} = \frac{G_F^2}{2\pi} s \left[ \frac{1}{3} Q + \bar{Q} \right] \quad Q = \int_0^1 dx x [u(x) + d(x) + s(x)]$$



not exactly  
a factor of 3  
because of  
anti-quarks  
in the nucleon

$$\frac{\sigma(\nu N)}{\sigma(\bar{\nu} N)} \approx 2$$

# NC $\nu - q$ Scattering

Because  $\nu_e e$  cross sections are very small, extensive studies of NC interactions carried out using isoscalar ( $\#p = \#n$ ) nuclear targets, like Fe (almost isoscalar)

$$R_\nu = \frac{\sigma^{NC}(\nu)}{\sigma^{CC}(\nu)} = \frac{\sigma^{NC}(\nu_\mu N \rightarrow \nu_\mu X)}{\sigma^{CC}(\nu_\mu N \rightarrow \mu^- X)} = 0.31 \pm 0.01$$

$$R_{\bar{\nu}} = \frac{\sigma^{NC}(\bar{\nu})}{\sigma^{CC}(\bar{\nu})} = \frac{\sigma^{NC}(\bar{\nu}_\mu N \rightarrow \bar{\nu}_\mu X)}{\sigma^{CC}(\bar{\nu}_\mu N \rightarrow \mu^+ X)} = 0.38 \pm 0.02$$

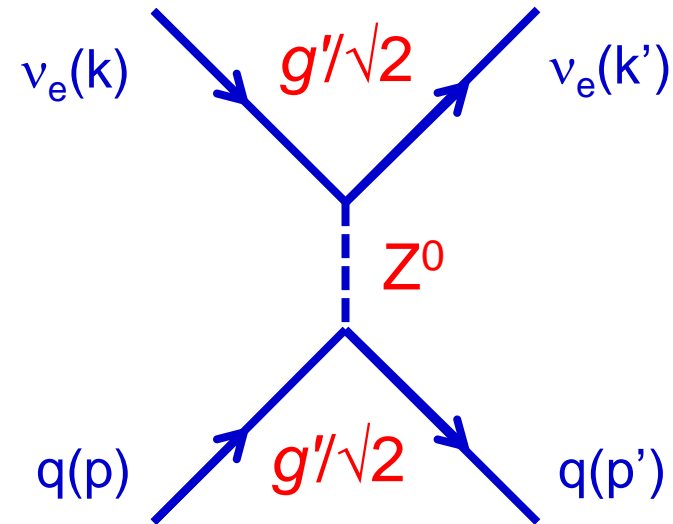
Explained in terms of  $\nu q - \nu q$  and  $\bar{\nu} q - \bar{\nu} q$  scattering

$$\frac{d\sigma^{NC}(\nu q \rightarrow \nu q)}{dy} = \frac{G_F^2 x s}{\pi} \left[ \frac{1}{4} (c_V^q + c_A^q)^2 + \frac{1}{4} (c_V^q - c_A^q)^2 (1-y)^2 \right]$$

$$c_L = \frac{1}{2} (c_V^q + c_A^q) \quad c_R = \frac{1}{2} (c_V^q - c_A^q)$$

$$\frac{d\sigma^{NC}(\nu N \rightarrow \nu X)}{dx dy} = \frac{G_F^2 x s}{2\pi} \left[ c_L^2 [Q(x) + (1-y)^2 \bar{Q}(x)] + c_R^2 [\bar{Q}(x) + (1-y)^2 Q(x)] \right]$$

experimentally  $c_L^2 = 0.300 \pm 0.015$   $c_R^2 = 0.024 \pm 0.008$



# The $\nu - N$ Cross Section

The calculation proceeds in a similar way as  $e\mu \rightarrow e\mu$ ,  $ep \rightarrow ep$ ,  $ep \rightarrow eX$  cross sections

lepton current  $j^\mu = \bar{u}_{(\mu)} \gamma^\mu \frac{1}{2} (1 - \gamma_5) u_{(\nu)}$  (both V and A currents possible)

hadron current  $J_{had}^\mu = \langle X | J_W^\mu | p, s \rangle$

invariant amplitude  $M = \sqrt{2} G_F j_\mu J^\mu \rightarrow \sqrt{2} G_F \frac{1}{1 + Q^2/M_W^2} j_\mu J_{had}^\mu$  W propagator  
 $\frac{-i(g_{\mu\nu} - q_\mu q_\nu / M_W^2)}{q^2 - M_W^2}$

leptonic tensor

$$L_{\alpha\beta} = \left[ \bar{u}(k) \gamma_\alpha (1 - \gamma^5) u(k') \right] \left[ \bar{u}(k') \gamma_\beta (1 - \gamma^5) u(k) \right]$$

$$= 8 \left[ k'_\alpha k_\beta + k'_\beta k_\alpha - (k \cdot k' - m_\mu^2) g_{\alpha\beta} \mp i \varepsilon_{\alpha\beta\gamma\delta} k^\gamma k'^\delta \right]$$

hadronic tensor  
(most general form)

$$W^{\alpha\beta} = -g^{\alpha\beta} W_1 + \frac{p^\alpha p^\beta}{M^2} W_2 - \frac{i \varepsilon^{\alpha\beta\gamma\delta} p_\gamma q_\delta}{2M^2} W_3$$

$$+ \frac{q^\alpha q^\beta}{M^2} W_4 + \frac{p^\alpha q^\beta + p^\beta q^\alpha}{M^2} W_5 + i \frac{p^\alpha q^\beta - p^\beta q^\alpha}{2M^2} W_6$$

where  $\varepsilon^{\alpha\beta\gamma\delta}$  is the fully antisymmetric tensor

Contract the tensor  $L_{\mu\nu} W^{\mu\nu}$

the terms  $W_4$ ,  $W_5$ , and  $W_6$  are proportional to lepton masses  $\rightarrow 0$

the antisymmetric term  $W_3$  stays, and violates parity

$$L_{\mu\nu} W^{\mu\nu} = 4W_1(k \cdot k') + \frac{2W_2}{M^2} [2(p \cdot k)(p \cdot k') - M^2(k \cdot k')] - \frac{2W_3}{M^2} [(p \cdot k)(q \cdot k') - (q \cdot k')(k \cdot p)]$$

The cross section in the lab frame becomes ( $\nu$  experiments only fixed target so far ...)

$$\left( \frac{d^2\sigma}{dx dy} \right) \begin{pmatrix} \nu N \\ \bar{\nu} N \end{pmatrix} = \frac{G_F^2 s}{2\pi (1 + Q^2/M_W^2)^2} \left[ \nu W_2 \left( 1 - y - \frac{Mxy}{2E_\nu} \right) + \frac{y^2}{2} 2xMW_1 \pm y \left( 1 - \frac{y}{2} \right) x\nu W_3 \right]$$

For  $\bar{\nu} N$  we replace  $(1-\gamma_5)$  by  $(1+\gamma_5)$  in the lepton tensor and this changes the sign of  $W_3$ .

Recall that  $E_\nu$  is not directly measurable, need the total energy  $E_{\text{had}}$  of the hadronic final system

$$\left( \frac{d^2\sigma}{dQ^2 d\nu} \right) \begin{pmatrix} \nu N \\ \bar{\nu} N \end{pmatrix} = \frac{G_F^2}{2\pi M} \frac{E_\mu}{E_\nu} \left[ W_2 \cos^2 \frac{\mathcal{Q}}{2} + 2W_1 \sin^2 \frac{\mathcal{Q}}{2} \pm \left( \frac{E_\nu + E_\mu}{M} \right) W_3 \sin^2 \frac{\mathcal{Q}}{2} \right]$$

The structure functions  $W_1$ ,  $W_2$ ,  $W_3$  are different functions from those encountered in  $e - p$  scattering, and also differ from  $\nu N$  to  $\bar{\nu} N$  scattering.

No assumption on the underlying structure of the hadron is made so far.

# DIS Region

The structure functions  $W$  are functions of  $W(Q^2, \nu)$ .

In DIS region the probe sees the constituents inside the hadron (**Bjorken scaling limit**)

→ scattering off point-like partons

→  $W$  depends on only 1 variable  $x = Q^2/2M\nu$

(compare to  $e\mu$  elastic scattering, QPM, ...)

$$2MW_1(Q^2, \nu) = \frac{Q^2}{2M\nu} \delta\left(1 - \frac{Q^2}{2M\nu}\right)$$

$$\nu W_2(Q^2, \nu) = \delta\left(1 - \frac{Q^2}{2M\nu}\right)$$

$$\nu W_3(Q^2, \nu) = \delta\left(1 - \frac{Q^2}{2M\nu}\right)$$

and the structure functions  
In the Bjorken limit  
take the familiar form

$$MW_1(Q^2, \nu) \rightarrow F_1^\nu(x)$$

$$\nu W_2(Q^2, \nu) \rightarrow F_2^\nu(x)$$

$$\nu W_3(Q^2, \nu) \rightarrow F_3^\nu(x)$$

In total there are 12 nucleon structure functions for neutrino scattering:

$F_1, F_2, F_3$  for each of the  $\nu p, \nu n, \bar{\nu} p, \bar{\nu} n$  processes.

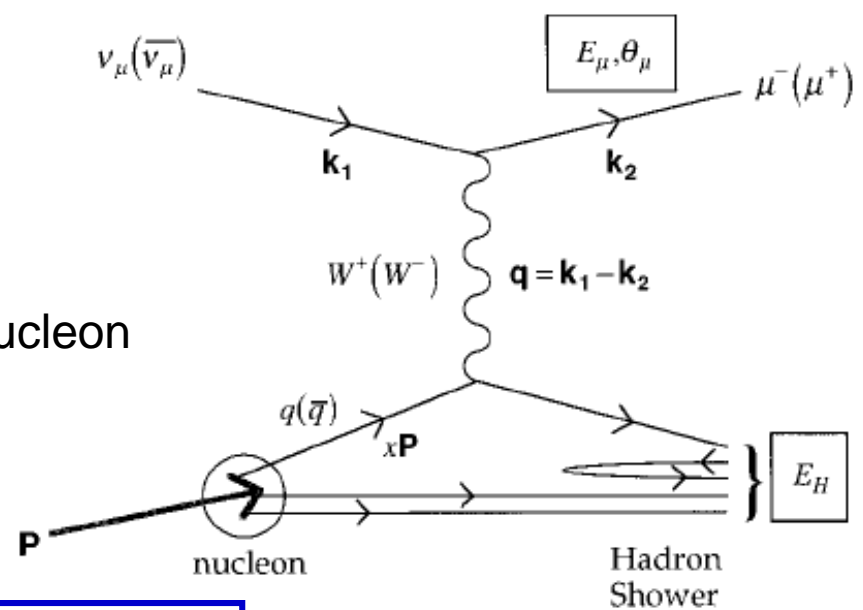
Charge symmetry implies  $F_i(\nu p) = F_i(\bar{\nu} n)$  and  $F_i(\nu n) = F_i(\bar{\nu} p)$ , which reduces them to 6.

Experiments conducted usually using massive isoscalar targets ( $\#p = \#n$ ) and measure cross sections per nucleon (p or n) reducing thus the number of structure functions to 3

# QPM Interpretation

Interpretation similar to  $\mu N$  scattering

The exchanged  $W^\pm$  strikes a quark in the nucleon and changes the flavor of the struck quark (probability given by CKM matrix)



QPM cross section (isoscalar target)

$$\frac{d^2\sigma}{dxdy}(\nu N) = \frac{G_F^2 M E_\nu}{\pi (1 + Q^2/M_W^2)^2} 2x \left[ \sum_i c_i^2 q_i(x) + \sum_j c_j^2 q_j(x)(1-y)^2 \right]$$

$c_i$  and  $c_j$  are the Cabibbo mixing angles ( $\cos \theta_C$  and  $\sin \theta_C$ , assuming 4 flavors only)

Assuming the Callan-Gross relation (spin  $\frac{1}{2}$  quarks)  $F_2 = 2xF_1$  and an isoscalar target

$$F_2(x) = 2 \sum_{i,j} \left[ c_i^2 x q_i(x) + c_j^2 x \bar{q}_j(x) \right]$$

$$xF_3(x) = 2 \sum_{i,j} \left[ c_i^2 x q_i(x) - c_j^2 x \bar{q}_j(x) \right]$$

the striking difference between  $F_2$  and  $F_3$  is the sign for the anti-quark densities

and the cross section finally reads

$$\frac{d^2\sigma}{dxdy}(\nu N) = \frac{G_F^2 s}{2\pi (1 + Q^2/M_W^2)^2} \left[ \frac{F_2 \pm xF_3}{2} + \frac{F_2 \mp xF_3}{2} (1-y)^2 \right]$$



# Quark Content of $F_2$ and $F_3$

$\nu N$  Cabibbo favored ( $\cos^2 \theta_C$ )       $d \rightarrow u, s \rightarrow c, \bar{u} \rightarrow \bar{d}, \bar{c} \rightarrow \bar{s}$

$\bar{\nu} N$  Cabibbo unfavored ( $\sin^2 \theta_C$ )       $d \rightarrow c, s \rightarrow u, \bar{c} \rightarrow \bar{d}, \bar{u} \rightarrow \bar{s}$

$\nu N$  Cabibbo favored transitions       $u \rightarrow d, c \rightarrow s, \bar{d} \rightarrow \bar{u}, \bar{s} \rightarrow \bar{c}$

$\nu p :$	$F_2 = 2x[d + s + \bar{u} + \bar{c}]$	$F_3 = 2x[d + s - \bar{u} - \bar{c}]$
$\nu n :$	$F_2 = 2x[u + s + \bar{d} + \bar{c}]$	$F_3 = 2x[u + s - \bar{d} - \bar{c}]$
$\bar{\nu} p :$	$F_2 = 2x[u + c + \bar{d} + \bar{s}]$	$F_3 = 2x[u + c - \bar{d} - \bar{s}]$
$\bar{\nu} n :$	$F_2 = 2x[d + c + \bar{u} + \bar{s}]$	$F_3 = 2x[d + c - \bar{u} - \bar{s}]$

For an isocalar target (p+n)

$\nu N :$	$F_2 = 2x[u + d + \bar{u} + \bar{d} + 2s + 2\bar{c}]$	$F_3 = 2x[u + d - \bar{u} - \bar{d} + 2s - 2\bar{c}] = 2x[u_v + d_v + 2(s - \bar{c})]$
$\bar{\nu} N :$	$F_2 = 2x[u + d + \bar{u} + \bar{d} + 2\bar{s} + 2c]$	$F_3 = 2x[u + d - \bar{u} - \bar{d} + 2c - 2\bar{s}] = 2x[u_v + d_v + 2(c - \bar{s})]$

since  $s = \bar{s}$  and  $c = \bar{c}$  (sea quarks)

$F_2(\bar{\nu} N) = F_2(\nu N)$
$F_3(\bar{\nu} N) = F_3(\nu N) - 4x[s - c] \approx 2x[u_v + d_v]$

(basically,  $F_3$  measures the distribution of valence quarks)

# Comments on $F_2$ and $F_3$

$\nu$  and  $\bar{\nu}$   $F_2$  structure function on isoscalar targets  $\frac{1}{2}(p+n)$  measures the **SUM** of quark and anti-quark **PDFs** in the nucleon

$$F_2^{\nu N} = F_2^{\bar{\nu} N} = 2x \left[ u + d + \bar{u} + \bar{d} + 2(s + \bar{s}) \right]$$

Cfr. EM scattering

$$F_2^{ep} = x \left[ \frac{4}{9}(u + \bar{u}) + \frac{1}{9}(d + \bar{d}) + \frac{1}{9}(s + \bar{s}) \right]$$

The average of  $xF_3$  for  $\nu$  and  $\bar{\nu}$  scattering on isoscalar targets measure the **VALENCE** quark PDFs

$$\frac{F_3^{\nu N} + F_3^{\bar{\nu} N}}{2} = 2x \left[ u + d - \bar{u} - \bar{d} \right] = 2x \left[ u_v + d_v \right]$$

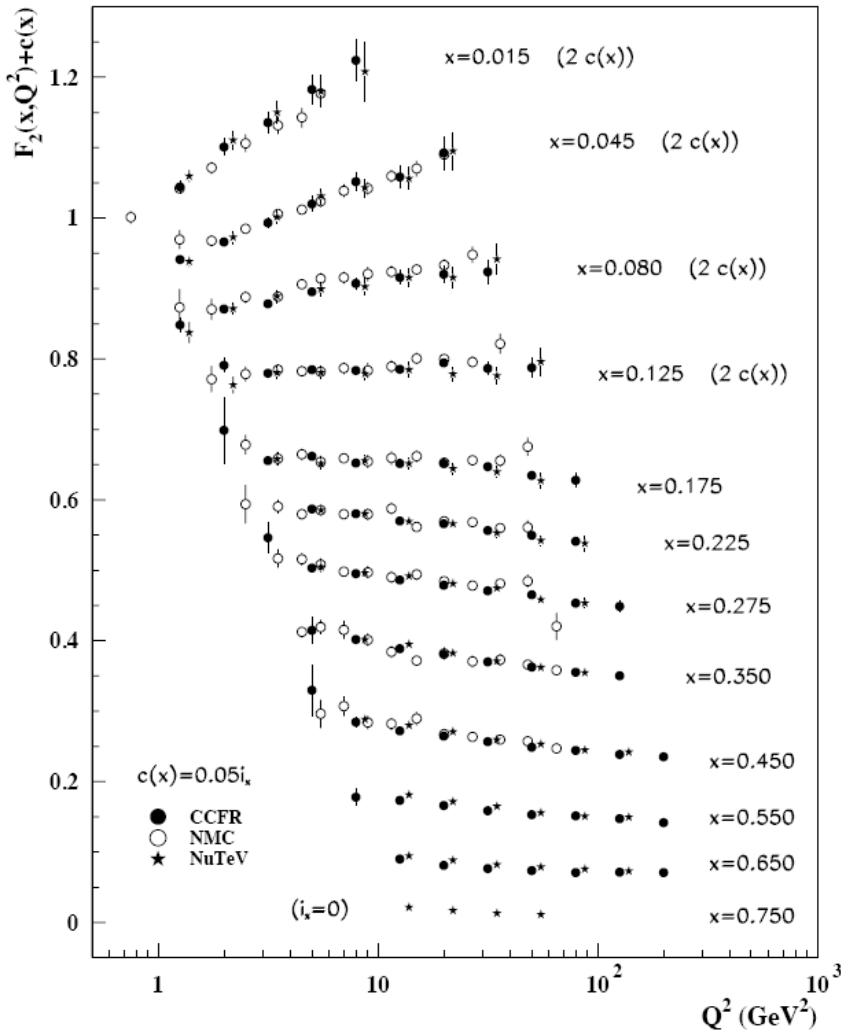
Comparison of  $F_2$  for  $\nu$  and  $e/\mu$  lepton scattering confirms the fractional charge assignment to the quarks.

The difference  $\Delta(xF_3) = xF_3(\nu N) - xF_3(\bar{\nu} N)$

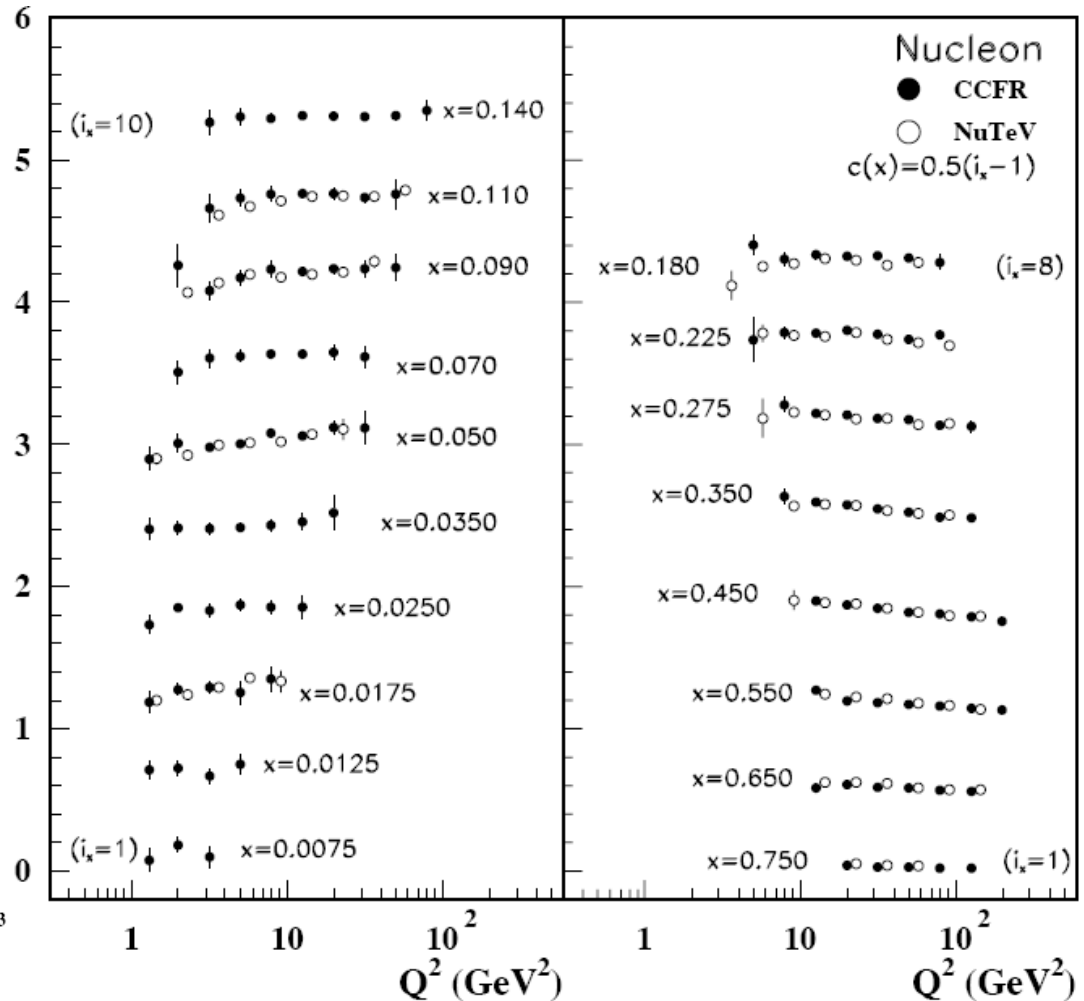
is sensitive to the strange and charm quark content of the nucleon

# $F_2$ and $F_3$ Structure Functions in $\nu - \text{Fe}$ Scat.

$F_2$   $\nu$  Fe scattering



$F_3$   $\nu$  Fe scattering



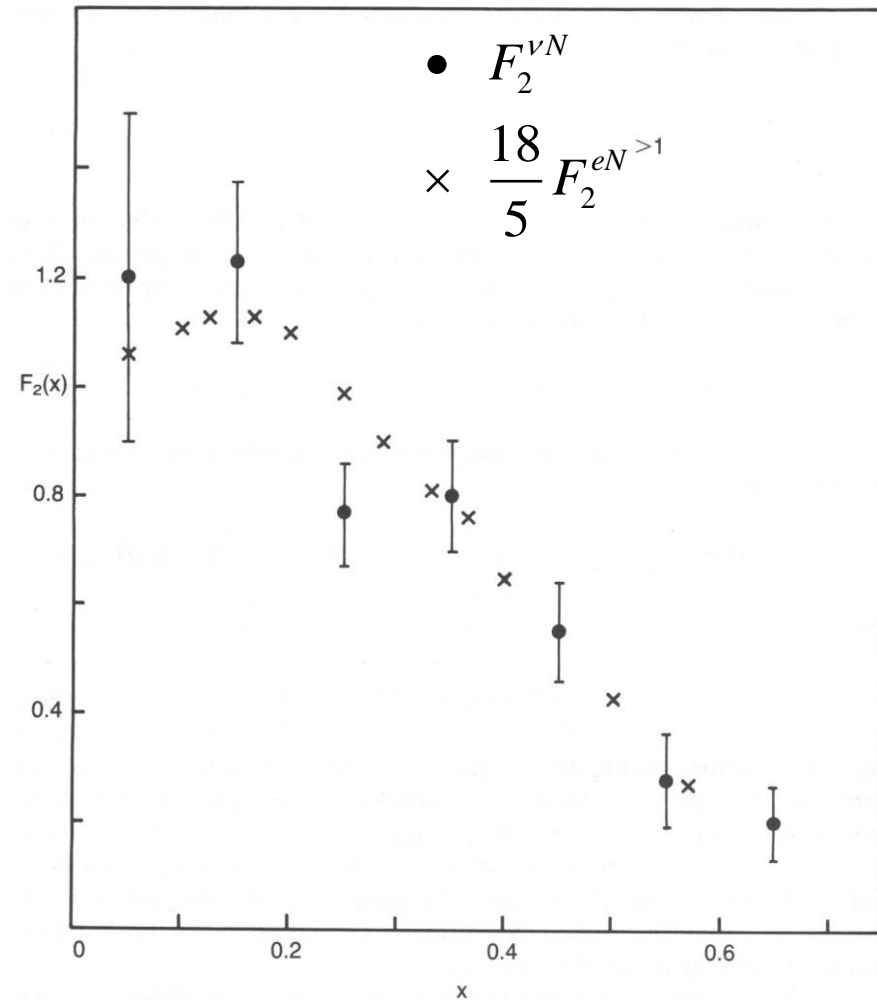
# $\nu - e/\mu$ Comparison

An early check of fractional charges comes from  $F_2$  comparisons in  $eN$  and  $\nu N$  scattering off isoscalar targets

$$F_2^{e/\mu} = \frac{5}{18} x \left[ u + d + \bar{u} + \bar{d} + \frac{2}{5} [s + \bar{s}] + \frac{8}{5} [c + \bar{c}] \right]$$

$$F_2^\nu = x [u + d + \bar{u} + \bar{d} + 2s + 2\bar{c}]$$

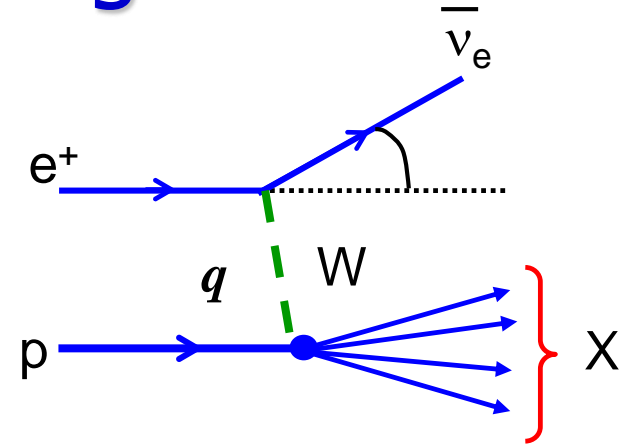
$$F_2^{e/\mu} = \frac{5}{18} F_2^\nu - x \frac{1}{6} (s + \bar{s})$$



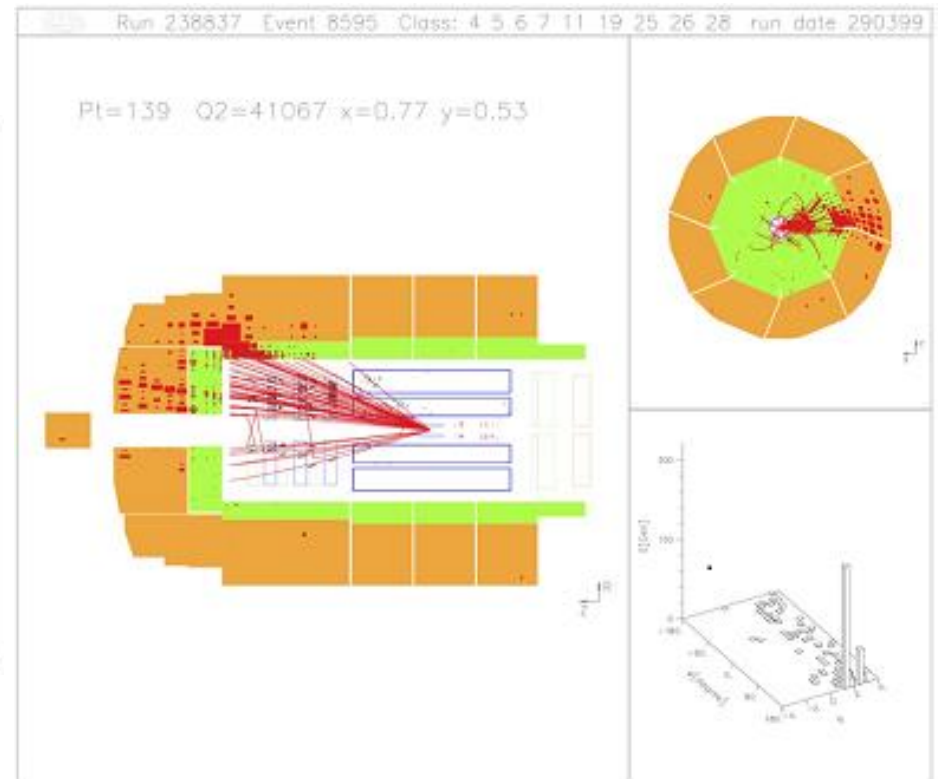
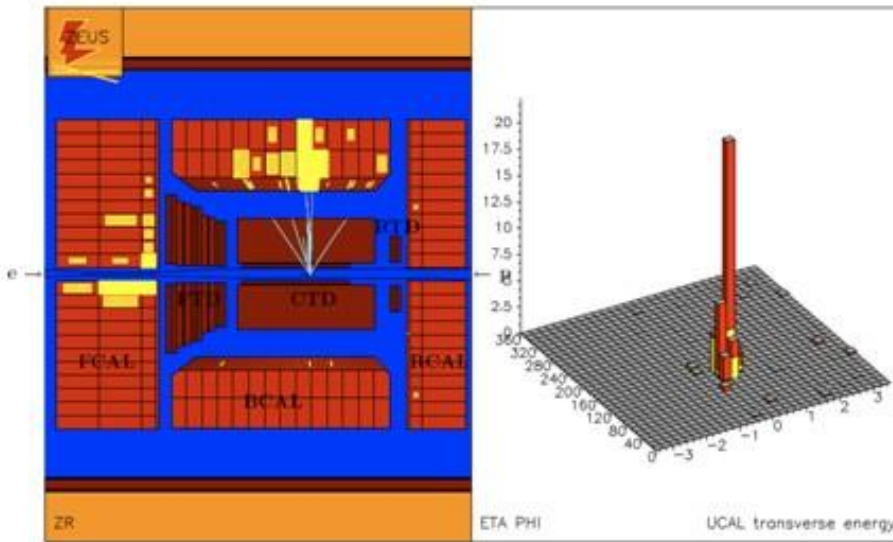
Note that the total area under the curve measures the momentum fraction in the nucleon carried by quarks,  $P_q \sim 0.5$ . The missing momentum fraction is ascribed to gluons, which cannot be seen directly in DIS experiments

# CC $e + N \rightarrow \nu_e + X$ Scattering

At very high energies,  
 in ep scattering also a W boson can be exchanged  
 instead of a  $\gamma$  (or Z boson)  $\rightarrow$  CC DIS.  
 Only a hadronic jet will be observed  
 (the outgoing  $\nu$  is undetected)



Hera ep collider (Zeus + H1)



# Sum Rules

Various predictions can be made for integrals – **sum rules** – over quark densities, which have simple interpretations in the QPM.

These sum rules are predictions based on QCD, therefore a powerful test of QCD.

There are 2 such sum rules for  $\nu$  interactions

(we already encountered the **Gottfried sum rule** comparing p and n quark distributions):

## the Adler sum rule

$$I_A = \int_0^1 \frac{F_2^{\bar{\nu}p} - F_2^{\nu p}}{x} dx = \int_0^1 \frac{F_2^{\nu n} - F_2^{\nu p}}{x} dx = \int_0^1 2(u_V - d_V) dx = 2$$

this sum rule is  $Q^2$  independent and hence has no QCD corrections

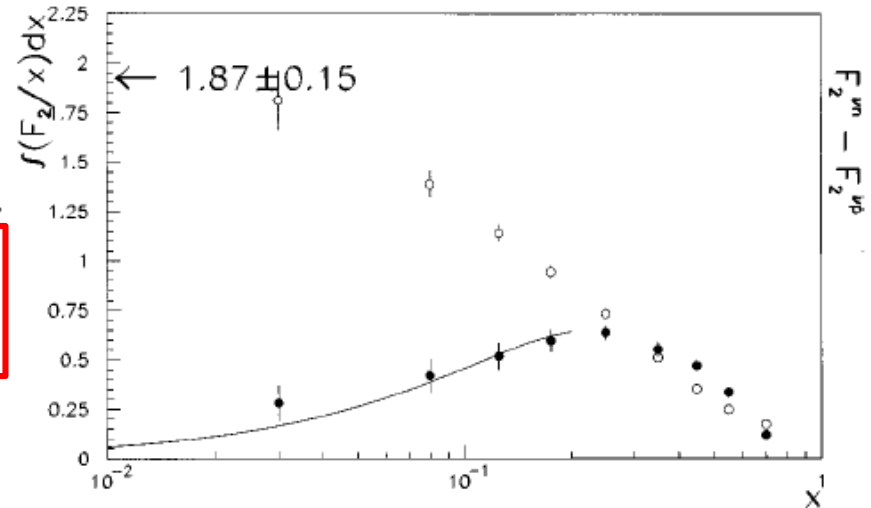
## the Gross – Llewellyn Smith

$$I_{GLS} = \int_0^1 \frac{x F_3}{x} dx = \int_0^1 (u_V + d_V) dx = 3 \left( 1 - \frac{\alpha_S}{\pi} \right)$$

$$I_{GLS} = 2.64 \pm 0.06$$

to derive these sum rules use the definitions of  $F_2$  and  $F_3$  in terms of quark densities

## Adler sum rule



# For Next Week

Study the material and prepare / ask questions

Study ch. 12 (sec. 7 to 10) and ch. 13 (sec. 5) in Halzen & Martin  
and / or ch. 12 (sec. 2 to 5) in Thomson

Do the homeworks

Next week we will study [the Electroweak unification](#)

have a first look at the lecture notes, you can already have questions  
read ch. 13 (sec. 1 to 7) in Halzen & Martin  
and / or ch. 15, ch. 16, and app D in Thomson