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Measurement of Neutrino Flux from Neutrino-Electron Elastic Scattering

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(Dated: October 27, 2015)

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Abstract

Neutrino-electron elastic scattering is the only practically observable neutrino process which can be precisely predicted in the standard electroweak model without the use of neutrino-nucleus scattering information. As such, it may be used to directly measure the flux of neutrinos, which for accelerator-based beams is typically uncertain to $\sim 10\%$ due to uncertainties in hadron production and focusing. We have isolated a sample of 97 ± 12 neutrino-electron elastic scattering candidates in the segmented scintillator detector of MINERvA, after subtracting backgrounds and correcting for efficiency. We then show how this sample can be used to dramatically improve the uncertainty on the predicted NuMI flux. This constraint can be used by other NuMI-based experiments, and this technique is applicable to future multi-GeV energy neutrino beams.

12 PACS numbers: 13.15.+g,13.66-a

Neutrino-electron elastic scattering is precisely predicted in the electroweak standard model because it involves only the scattering of fundamental leptons. At tree level in the electroweak standard model and in the limit that $m_e \ll E_\nu \ll \frac{M_W^2}{2m_e}$,

$$\frac{d\sigma(\nu e^- \rightarrow \nu e^-)}{dy} = \frac{G_F^2 s}{\pi} [C_{LL}^2 + C_{LR}^2(1-y)^2], \quad (1)$$

where G_F is the Fermi weak coupling constant, s is the Mandelstam invariant representing the square of the center-of-mass frame total energy, $y \equiv T_e/E_\nu$, and T_e is the electron kinetic energy. C_{LL} and C_{LR} are constants that depend on the neutrino flavor and whether the initiating particle is a neutrino or anti-neutrino. For muon and tau neutrinos, $C_{LL} = \frac{1}{2} - \sin^2 \theta_W$ and $C_{LR} = \sin^2 \theta_W$, where θ_W is the Weinberg angle, and for anti-neutrinos the values for C_{LL} and C_{LR} are swapped. For electron neutrinos (anti-neutrinos), the value of C_{LL} (C_{LR}) is instead $\frac{1}{2} + \sin^2 \theta_W$ because the interaction contains interfering contributions from the neutral current interaction that is present for all flavors and a charged-current interaction present only for electron neutrinos. The kinematics of the reaction limit the magnitude of the 4-momentum transferred from the neutrino, q , to $-q\dot{q} \equiv Q^2 < s$. The final state electron angle with respect to the neutrino, θ , may be uniquely determined from the initial neutrino and final lepton energies by

$$1 - \cos\theta = \frac{m_e(1-y)}{E_e}; \quad (2)$$

13 therefore at accelerator neutrino energies, where $m_e \ll E_\nu$, the final state electron is very
 14 forward. Electroweak radiative corrections for this cross section have been calculated at one-
 15 loop order [1] and are few percent corrections to the tree level expressions for GeV energy
 16 neutrinos. We include in our calculation of the radiative corrections additional low energy
 17 terms [2] and one-loop electroweak couplings from recent global fits to electroweak data [3].

18 Experimental measurements of $\nu_\mu e^-$ and $\bar{\nu}_\mu e^-$ elastic scattering have been performed by
 19 the CHARM [4], BNL-E734 [5] and, most precisely, by the CHARM-II [6] experiment. In
 20 addition, $\nu_e e^-$ scattering has been studied by the E-225 [7] and LSND [8] experiments at
 21 LAMPF, and $\bar{\nu}_e e^-$ scattering by the TEXONO [9] experiment. These measurements are
 22 limited in precision either by statistics of the neutrino-electron elastic scattering sample,
 23 knowledge of the incoming neutrino flux, or both. The uncertainty of the neutrino-electron
 24 scattering within the electroweak standard model is much smaller than the uncertainties
 25 associated with any of these measurements or their combination [3].

26 This unusual situation in neutrino scattering, in which the scattering cross section is
27 much better known than it can be measured, offers the possibility of using the reaction as
28 a standard candle from which one can derive constraints on the neutrino flux. The technical
29 challenge that balances this promise is that the cross-section is small, roughly 10^{-4} of the
30 total charged-current ν_μ cross-section, so the signal statistics are low and the backgrounds
31 are substantial.

32 Given Eqn. 2 the signature for neutrino-electron scattering is a single electron with en-
33 ergy and angle satisfying $E_e\theta^2 < 2m_e$ and no other activity in the event. The dominant
34 backgrounds come from electrons produced in charged current ν_e and $\bar{\nu}_e$ interactions, and
35 decay photons from π^0 production. Therefore, the analysis selects low angle electrons, dis-
36 tinguishes them from photons, and rejects events with any other particles visible in the
37 detector.

38 The MINERvA experiment uses the NuMI beam [10], which starts with 120 GeV protons
39 which strike a graphite target. The mesons produced in $p + C$ interactions are focused by
40 two magnetic horns into a 675 m long helium-filled decay pipe. The horns were set to focus
41 positive mesons, resulting in a ν_μ -enriched beam. Muons produced in meson decays are
42 absorbed in 240 m of rock downstream of the decay pipe. This analysis uses data taken
43 between November 2010 and April 2014 with 3.5×10^{20} protons on target. The predicted
44 flux of neutrinos for this exposure is shown in Figure 1.

45 The neutrino beam is simulated by a Geant4-based model [11, 12] which is constrained
46 to reproduce hadron production measurements by NA49 on carbon [13]. FLUKA is used
47 to translate NA49 measurements to proton energies between 12 and 120 GeV [14, 15]. The
48 π/K ratio measured by MIPP on a replica NuMI target [16] is used to constrain production
49 of kaons. Hadronic interactions not constrained by the NA49 or MIPP data are predicted
50 using the FTFP hadron shower model¹.

51 The MINERvA detector consists of a core of scintillator strips surrounded by electromag-
52 netic and hadronic calorimeters on the sides and downstream end of the detector² [17]. The
53 strips are perpendicular to the z-axis (which is very nearly the beam axis) and are arranged
54 in planes with a 1.7 cm strip-to-strip pitch³. Three plane orientations ($0^\circ, \pm 60^\circ$ rotations
55 around the z-axis) enable reconstruction of the neutrino interaction point and tracks of out-
56 going charged particles. Forward electrons typically look like a single particle track near the
57 neutrino interaction point at which they are created, and slowly develop in an electromag-

¹ FTFP shower model in Geant4 version 92 patch **03**.

² The MINERvA scintillator tracking region is 95% CH and 5% other materials by weight.

³ The y-axis points along the zenith and the beam is directed downward by 58 mrad in the y-z plane.

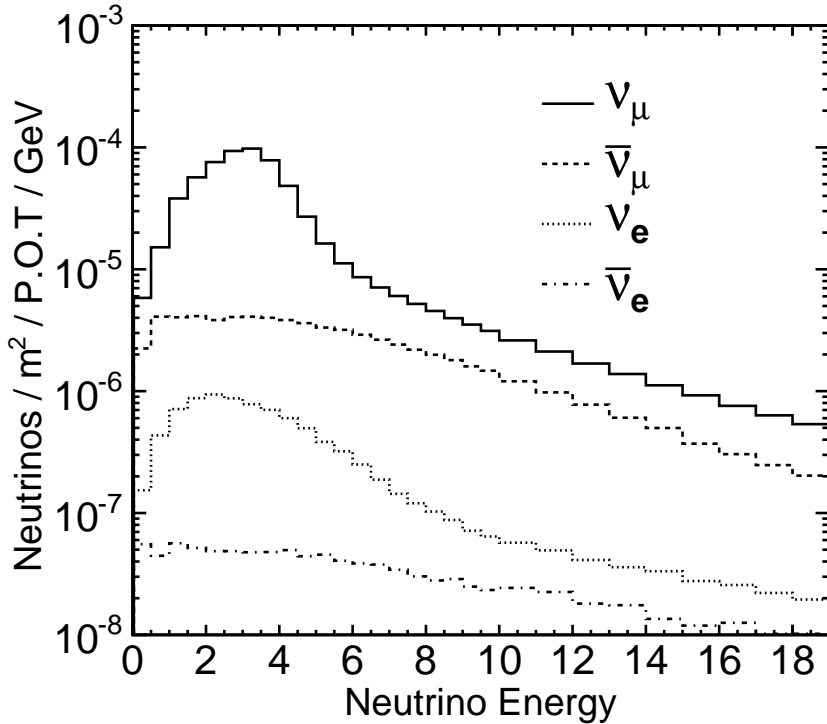


FIG. 1: The predicted flux of ν_μ , $\bar{\nu}_\mu$, ν_e and $\bar{\nu}_e$ for the dataset used in this analysis

58 netic cascade in the scintillator, which typically ends in the downstream electromagnetic
 59 calorimeter. The 3.0 ns timing resolution allows separation of particles from multiple inter-
 60 actions within a single beam spill. MINERvA is located 2 m upstream of the MINOS near
 61 detector, a magnetized iron spectrometer [18] which is not used directly in this analysis, but
 62 is used to reconstruct momentum of through-going muons for many calibrations [17] and to
 63 perform muon reconstruction efficiency studies described later.

64 The MINERvA detector's response is simulated by a tuned Geant4-based [11, 12] pro-
 65 gram. The energy scale of the detector is set by ensuring that both the photostatistics and
 66 the reconstructed energy deposited by momentum-analyzed through-going muons agree in
 67 data and simulation. The calorimetric constraints used to reconstruct the energy of electro-
 68 magnetic showers and the correction for passive material are determined from the simulation.
 69 The applicability of this energy scale to electrons in the scintillator tracker is verified using
 70 a sample of Michel electrons from $\mu^\pm \rightarrow e^\pm \nu \bar{\nu}$ decays of muons stopping in the detector [17]
 71 and by the reconstructed invariant mass of identified $\pi^0 \rightarrow \gamma\gamma$ decays [19]. The uncertainty
 72 in the response of the detector to protons and charged pions is constrained by the measure-

73 ments made with a scaled down version of the MINERvA detector in a low energy hadron
74 test beam [20].

75 Neutrino interactions are simulated using the GENIE 2.6.2 neutrino event generator [21].
76 The neutrino-electron scattering cross section is described in the Introduction. For quasi-
77 elastic interactions, the cross-section is given by the Llewellyn Smith formalism [22]. Vector
78 form factors come from fits to electron scattering data [23]; the axial form factor used is a
79 dipole with an axial mass (M_A) of $0.99 \text{ GeV}/c^2$, consistent with deuterium measurements [24,
80 25], and sub-leading form factors are assumed from PCAC or exact G-parity symmetry [26].
81 The nuclear model is the relativistic Fermi gas with a Fermi momentum of $221 \text{ MeV}/c$
82 and an extension to higher nucleon momenta to account for short-range correlations [27,
83 28]. Inelastic, low W reactions are based on a tuned model of discrete baryon resonance
84 production [29], and the transition to deep inelastic scattering is simulated using the Bodek-
85 Yang model [30]. Final state interactions are modeled using the INTRANUKE package [21].
86 Coherent pion production is simulated using the model of Rein and Sehgal [31]. Uncertainties
87 in the parameters of these models are assigned based on either measurement uncertainties
88 from data or are assigned to cover differences between datasets and this model.

89 The MINERvA detector records the energy and time of energy depositions (hits) in each
90 scintillator strip. Hits are first grouped in time and then clusters of energy are formed by
91 spatially grouping the hits in each scintillator plane. Clusters with energy $> 1 \text{ MeV}$ are then
92 matched among the three views to create a track. An energetic electron traverses about
93 a radiation length as a minimum ionizing particle (MIP) until it begins to shower. The
94 radiation length, X_0 , corresponds to 25 scintillator planes when the direction of the electron
95 is normal to the planes. The track-like part of an electron shower can be identified, and
96 the beginning of that track serves as the event vertex. Occasionally, an electron starts to
97 shower early and the MIP track is too short to be reconstructed as a track. In that case the
98 isolated energy deposition is used to define the vertex location and shower direction which
99 are inputs to the cone algorithm described below. The event vertex is restricted to be within
100 the central 110 planes of the scintillator tracking region and no closer than 22 cm to any
101 edge of the planes. These requirements define a region with a mass of 5.57 metric tons.

102 Once a track or an isolated energy deposition is identified, then a cone is identified using
103 the vertex and angle of the identified object. The cone is defined to have an opening angle
104 of 10 degrees with respect to the angle of the found track or energy deposit, and starts far

105 enough upstream such that the width of the cone 80mm upstream of the vertex is 50mm wide.
106 The cone extends far enough to capture the downstream remnants of the electromagnetic
107 showers which sometimes fluctuate to only a single photon which later converts. The energy
108 in the cone is calculated calorimetrically as defined above and is identified as the electron
109 candidate energy. The resulting electron fractional energy resolution using this procedure is
110 $5.9\%/\sqrt{E_e(\text{GeV})} \oplus 3.4\%$ [32].

111 The accurate direction reconstruction of the electron shower is critical to background
112 rejection of background using an $E_e\theta^2$ cut. The energies and locations of clusters inside
113 the cone are fed into a Kalman filter to determine the electron angle with respect to the
114 beam direction. Because the downstream end of an electron shower does not necessarily
115 align with the original electron direction, only the first 30 energy depositions are used in the
116 fit. The resulting average electron angular resolution is 0.41 (0.43) degrees in the horizontal
117 (vertical) direction [32].

118 The times of the tracked hits are used to determine the interaction time. Other untracked
119 clusters up to 20 ns before and 35 ns after that time are associated with the event. Energy
120 within this reconstruction time window, but outside the electron cone, is used to search
121 for the presence of other particles in the event which would indicate that the event is a
122 background rather than neutrino-electron elastic scattering event.

123 The majority of neutrino interactions in MINERvA come from charged current (CC) ν_μ
124 interactions on nuclei either in or upstream of the detector. These events are easily removed
125 by a cut requiring the energy in a 30 cm-diameter cylinder along the cone axis and upstream
126 of the event vertex to be less than 300 MeV. Events are also removed if the end of the shower
127 penetrates through more than 2 planes of the hadron calorimeter, corresponding to 5 cm of
128 steel.

129 After the ν_μ CC interactions on nuclei are removed, the remaining background is from
130 neutral current (NC) pion production or electron neutrino interactions on nuclei in the
131 detector. These topologies can be removed by making cuts that ensure that the electron
132 energy deposition is consistent with coming from a single particle that does not have hadronic
133 interactions.

134 A minimum energy cut of 0.8 GeV is made to remove the significant background that
135 arises from π^0 decays to photons, and to ensure good angular and energy reconstruction of
136 the electron. In addition, the electron track is not allowed to bend by more than 9 degrees,

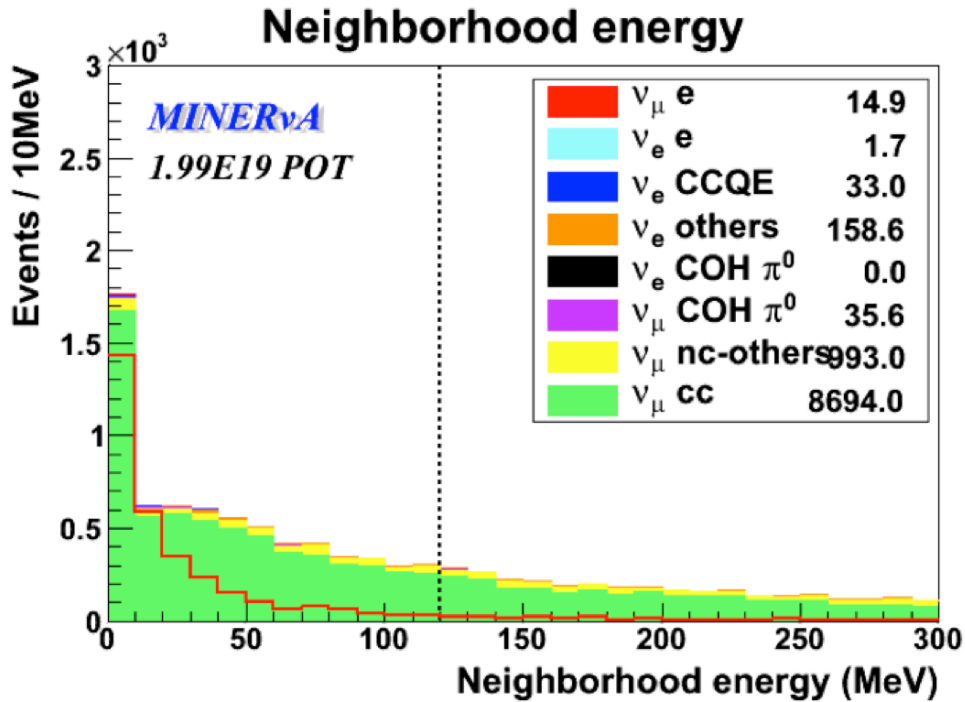


FIG. 2: PLOT IS ONLY A PLACEHOLDER: This plot shows the energy in a 5cm neighborhood of the electron cone after all cuts except a cut on this variable, for both the data, and the predicted signal and backgrounds after backgrounds have been tuned.

137 since this would be indicative of a hadronic scatter.

138 To ensure that there is only one particle that makes the energy present in the cone cuts
 139 are made on the transverse energy distribution, the longitudinal energy distribution, and
 140 finally the consistency of the energy depositions between the three views of the sintillator
 141 planes.

142 There are two transverse energy deposition cuts made. First, the energy within 5 cm of
 143 the outer boundary of the electron cone is required to be less than 120 ($65 + 7.8 \times E_e$) MeV
 144 for electrons that are less (greater) than 7 GeV in energy. This energy in the neighborhood
 145 of the electron cone is shown in Fig. 2. Second, for each view the energy-weighted RMS of
 146 the distance of each cluster of energy from the cone center must be less than 65 mm.

147 There are also two cuts made on the longitudinal energy distribution. The Kalman
 148 fitter that determines the electron angle returns a χ^2 describing the quality of the fit to a
 149 single particle energy deposition. A very loose cut of $\chi^2/NDF < 100$ is made to remove

150 multiple particle showers without compromising the single-particle acceptance. In addition,
 151 the maximum energy deposition for one plane in the cone divided by the product of the
 152 distance between the start of the shower and that maximum energy and the shower energy
 153 is required to be less than 5, which is consistent with electromagnetic shower propagation
 154 in scintillator.

155 Finally, the energy deposition in the cone for each view relative to the other two views
 156 is required to be consistent with that of a single particle. When there are two or more
 157 particles originating from the same vertex, they may overlap in one view of the cone but
 158 not in the other two views. Because there are twice as many planes in the X direction as
 159 in the U or V directions, the following two cuts remove events where two or more particles
 160 overlap inside the cone in one view not all views:

$$|E_{XUV}| = \left| \frac{E_X - E_U - E_V}{E_X + E_U + E_V} \right| < 0.28$$

$$|E_{UV}| = \left| \frac{E_U - E_V}{E_U + E_V} \right| < 0.5$$

161 where E_J is the energy deposited in the J view of the detector.

162 After the cuts above are made then the remaining backgrounds are primarily from electron
 163 neutrino quasi-elastic interactions, and events with single photons in them. The photons can
 164 be rejected by looking at the energy deposition per unit distance (dE/dx) at the beginning
 165 of the electron candidate track. For photons that convert, dE/dx is consistent with two
 166 electrons while the signal is made of only one electron. This cut is best made before the
 167 electron starts showering, but far enough into the track so that the photostatistics are
 168 adequate. The optimal distance for this analysis is to cut on the average energy deposition
 169 in the first four scintillator planes in the track. This average energy deposition, normalized
 170 by the cosine of the incident electron, is shown for data, and predicted signal and background
 171 events in Fig. 3. Events are required to have an average dE/dx less than $4.5 MeV/1.7 cm$.

172 After the dE/dx cut is made the remaining major background is from ν_e charged current
 173 quasi-elastic interactions (CCQE), namely $\nu_e n \rightarrow e^- p$ or $\bar{\nu}_e n \rightarrow e^+ n$. If the recoiling
 174 nucleon is not observed in the detector, which is common at low momentum transfer, the
 175 final state is a single electron or positron and cannot be distinguished from the signal using
 176 particle identification cuts. Given the kinematics described by Equation 2, and the small angle
 177 approximation, $E_e \theta^2$ must be less than the electron mass for neutrino electron scattering,
 178 but is much larger for neutrino nucleon scattering. Figure 4 shows the distribution of this

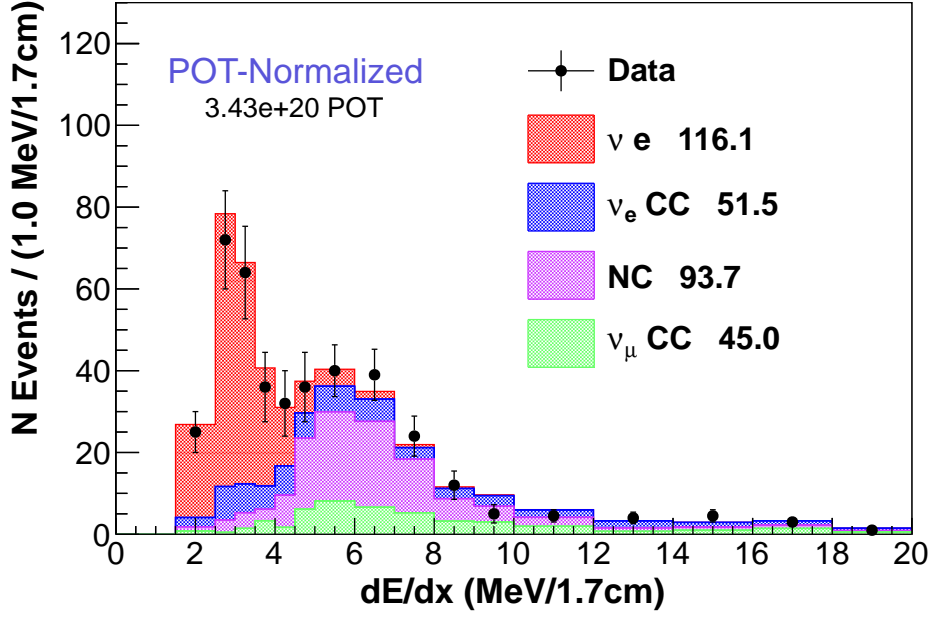


FIG. 3: The distribution of dE/dx after the cuts that isolate single electromagnetic showers are made, but before the final cuts are made.

179 variable for the data, and the signal and background predictions, after all cuts except this
 180 last one. Events with $E_e\theta^2$ greater than $0.0032 \text{ GeV Radian}^2$ are removed.

181 The $E_e\theta^2$ cut removes the ν_e CCQE background effectively at low energy, but is less
 182 effective for high energy electrons because those electrons are also produced at smaller
 183 angles, similar to neutrino electron scattering. As a secondary cut, the momentum transfer
 184 squared, Q^2 , is reconstructed directly under the assumption of ν_e CCQE kinematics,

$$E_\nu = \frac{m_n E_e - m_e^2/2}{m_n - E_e + P_e \cos \theta}, \quad (3)$$

$$Q^2 = 2m_n(E_\nu - E_e) \quad (4)$$

185 where m_n is the neutron mass and other symbols are defined above. Events with $Q^2 <$
 186 0.02 GeV^2 are removed to reject high energy electron ν_e CCQE events.

187 As shown in Fig. 4, the number of predicted background events after the final event
 188 selection is a small fraction of the signal events. If the predicted background is subtracted
 189 from data distribution, then a measure of the number of neutrino-electron scattering events
 190 is obtained. This procedure is subject to systematic uncertainties in the prediction of the
 191 background because mis-modeling of the background and flux uncertainties both bias the

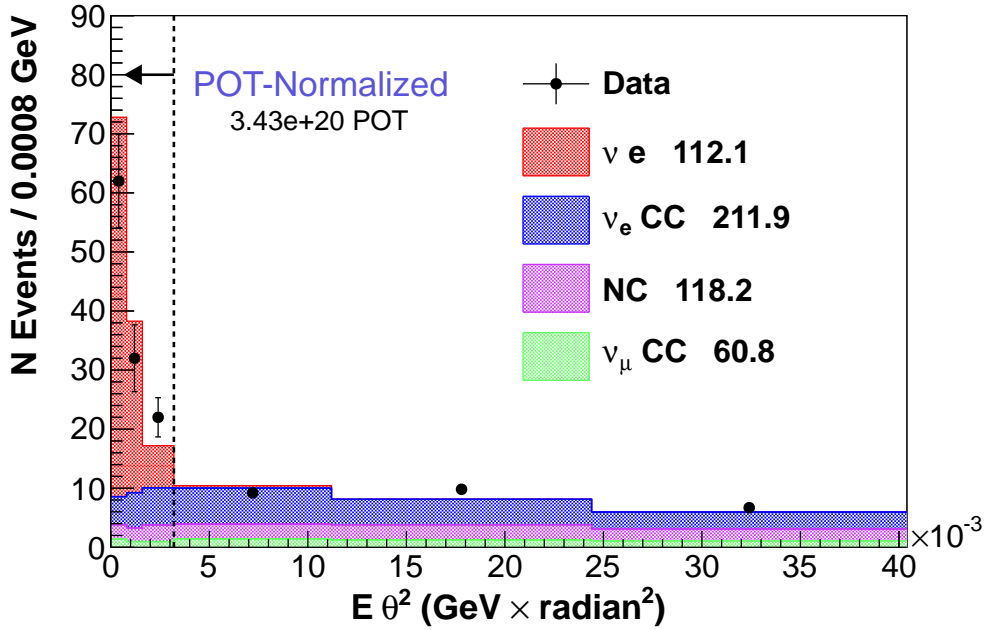


FIG. 4: The distribution of $E_e\theta^2$ after both the dE/dx cut and the cuts that isolate single electromagnetic showers are made.

192 signal measurement.

193 To reduce the background prediction uncertainty and the dependence of the backgrounds
 194 on an *a priori* flux prediction, the analysis normalizes the background prediction using
 195 events that fail the $E_e\theta^2$ cut but still pass a looser dE/dx cut. In addition, some of the cuts
 196 on the shower end transverse position and track length in the hadronic calorimeter were
 197 removed to allow more events into the sample. The sideband is defined to be all events with
 198 $E_e\theta^2 > 0.005 \text{ GeVradian}^2$ and $dE/dx < 20 \text{ MeV}/1.7 \text{ cm}$. This region is chosen with a high
 199 enough $E_e\theta^2$ value so that it does not contain any signal events, and with a low enough
 200 dE/dx value to only contain the populations of events that do leak into the final signal
 201 region.

202 However, this sideband still contains several different background sources which are them-
 203 selves poorly constrained and have different extrapolations into the signal region. Therefore,
 204 this sideband is then divided into three distinct regions in order to determine overall nor-
 205 malizations for three different background sources: the sources from electron neutrinos, the
 206 sources from ν_μ charged current (CC) interactions, and the sources from ν_μ neutral current
 207 interactions, including coherent π^0 production. The three regions are defined as follows: the

208 first region contains events with dE/dx above $3MeV/1.7cm$. The second two regions have
 209 dE/dx below $3MeV/1.7cm$ but are differentiated by having an electron energy above or be-
 210 low 1.2 GeV. The distributions of both the shower end transverse position and the fiducial
 211 track length in the hadron calorimeter are used in each of the three different regions, so the
 212 cuts on those variables are removed so that the information in the full range can be used
 213 for the fit. This is particularly helpful for constraining the ν_μ CC background. In the third
 214 region (which is ν_e enhanced), the maximum transverse RMS among the three views is also
 215 included in the fit.

216 The power of this procedure comes from the fact that the three different backgrounds have
 217 substantially different fractions in each of the three regions. The first region has roughly
 218 half its events from ν_μ CC events, one sixth from ν_e , and the rest are NC events. The second
 219 region is almost three quarters ν_μ CC events, one quarter ν_μ NC, with only a few per cent ν_e
 220 and NC coherent π^0 production. The third region is roughly half ν_e events, one quarter ν_μ
 221 CC events, with the remainder ν_μ NC and a few per cent NC coherent π^0 . One background
 222 source, NC coherent π^0 production, is predicted from the simulation because that source
 223 cannot be enhanced in any sideband region.

224 A χ^2 is formed over all of the distributions defined above in each of the regions, and that
 225 χ^2 is minimized allowing three overall normalizations to float. The fit returns normalization
 226 constants of 0.76 ± 0.03 for the ν_e backgrounds, and 0.64 ± 0.03 (1.00 ± 0.02) for the ν_μ
 227 neutral (charged) current backgrounds. After the fit there is good agreement between the
 228 data and simulation for all the different distributions in the fit. In addition, both the dE/dx
 229 and $E_e\theta^2$ distributions are well-reproduced in the sideband regions after fitting.

230 The systematic uncertainties are classified as either the uncertainty in the background
 231 prediction or the uncertainty in the detector efficiency and acceptance. The systematic
 232 uncertainty, shown in Fig. 5, is evaluated by randomly changing the underlying simulation
 233 prediction according to the various uncertainties, refitting the background scale factors, and
 234 then subtracting the background, re-extracting the electron energy spectrum, and re-correct-
 235 ing for detector acceptance.

236 The largest uncertainties in the background prediction come from the flux and the back-
 237 ground cross section models, although they are significantly reduced by the sideband tuning
 238 procedure described above. The uncertainty in the electron energy scale (4%) is deter-
 239 mined by comparing the agreement between data and simulation for the Michel electron

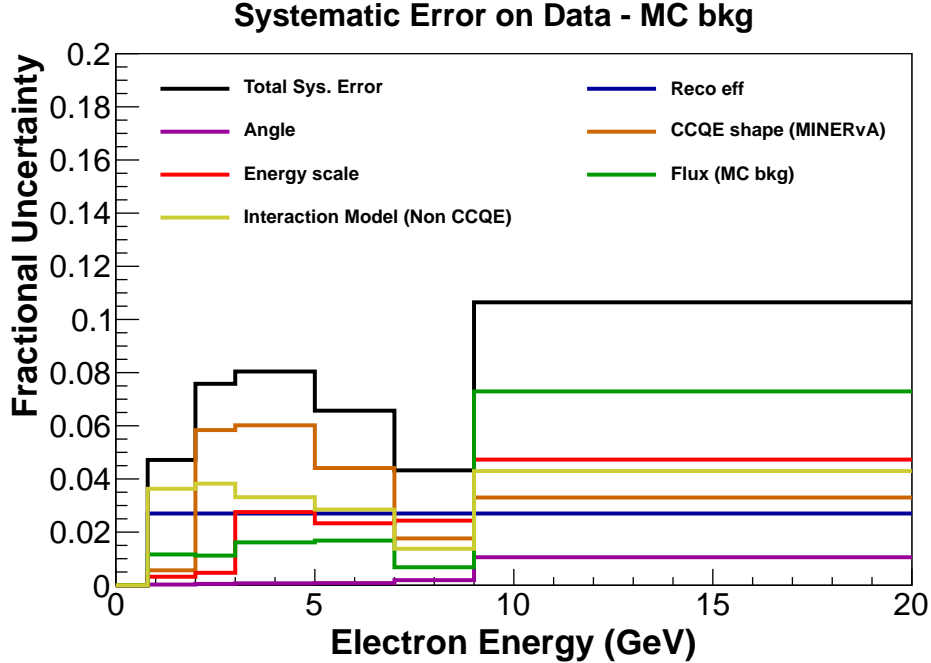


FIG. 5: The fractional systematic uncertainties as a function of the electron energy after all the cuts described above are made, and after the tuned background has been subtracted.

240 candidates. The uncertainty in the neutrino beam angle direction with respect to the de-
 241 tector axis (1 mrad) is determined by comparing the data and simulation for high energy ν_μ
 242 charged current events that have very low hadronic energy. Based on that study a correction
 243 of 3(1) mrad is made on the angle in the vertical (horizontal) direction. The flux uncer-
 244 tainties are incorporated by varying the parameters associated with hadron production and
 245 beam focusing in the flux model. The non-CCQE interaction model uncertainties are incor-
 246 porated by varying the underlying parameters in the cross section models for processes such
 247 as resonance production and coherent scattering. The reconstruction efficiency uncertainty
 248 is determined by assuming that the reconstruction efficiency uncertainty for electrons is the
 249 same as it is for muons, since both particles' tracks are seeded using the same technique. The
 250 reconstruction efficiency uncertainty for muons is determined by comparing the data and
 251 simulation for the efficiency of matching a muon track in MINERvA once a track is found
 252 in MINOS that extrapolates into MINERvA. The discrepancy between data and simulation
 253 is treated as the systematic uncertainty.

254 The most important systematic uncertainty for electron energies below 7 GeV comes from
 255 the fact that the ν_e CCQE cross section shape as a function of Q^2 is not known precisely,

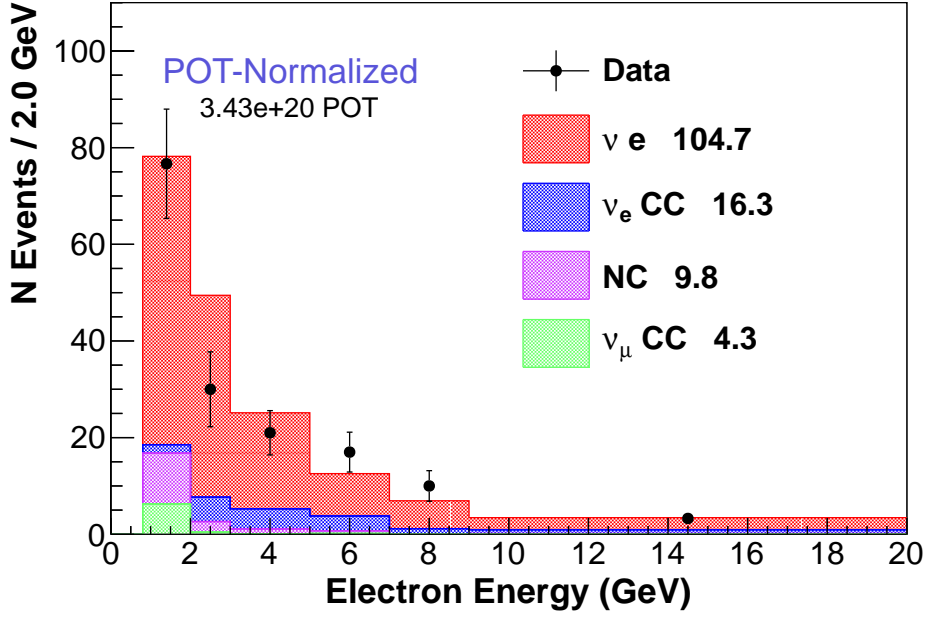


FIG. 6: The electron energy distribution for the data (black points) and predicted signal and backgrounds (stacked histograms) after all the cuts described in the text are made, and after the background tuning procedure is complete.

256 and for those electron energies the background at low Q^2 must be extrapolated using events
 257 at high $E_e\theta^2$, which are also at high Q^2 . MINERvA measured a different cross section
 258 shape versus Q^2 than what is in the standard GENIE neutrino event generator [33], and
 259 the systematic is evaluated by taking the difference between the shape of the cross section
 260 as a function of Q^2 that MINERvA measured and the one predicted by GENIE. At higher
 261 electron energies, because of the minimum Q^2 cut, this uncertainty no longer dominates and
 262 the flux and the electron energy scale become the largest uncertainties.

263 After all the cuts are made, there are a total of 127 candidates, with a prediction of
 264 $30.4 \pm 2.3(stat) \pm 3.3(syst)$ background events. The resulting electron energy spectrum is
 265 shown in Fig. 6. The simulation indicates that the product of acceptance and efficiency
 266 averaged across electron energy is $73.3 \pm 0.5\%$ and varies between approximately 70% at the
 267 lower and upper ends of the spectrum and 78% at moderate electron energies. The electron
 268 energy spectrum after correcting for acceptance and efficiency is shown in Fig 7. The total
 269 number of background-subtracted, efficiency corrected events is 97 ± 12 .

Although some of the initial state neutrino's energy is lost to the final state neutrino in

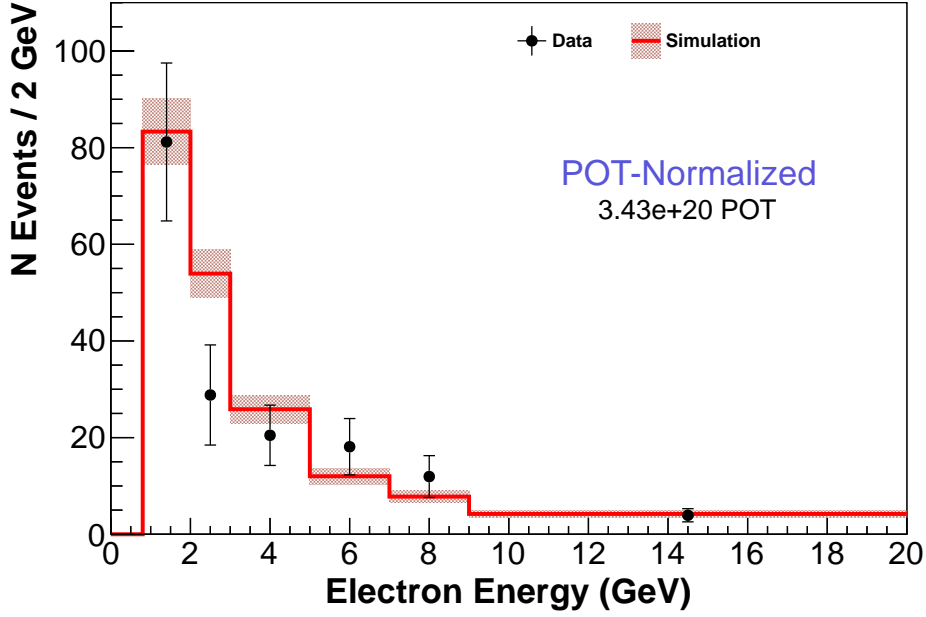


FIG. 7: The electron energy distribution for the data (black) and simulation (red) after all backgrounds are subtracted and after efficiency correction.

neutrino-electron scattering, the final state electron’s energy spectrum can constrain both the overall normalization and shape of the neutrino flux. This can be done observing that Bayes theorem relates the probability of a particular flux model (M) given an observed electron spectrum ($N_{\nu e \rightarrow \nu e}$) to the *a priori* model and the probability of the data given the model:

$$P(M|N_{\nu e \rightarrow \nu e}) \propto P(M)P(N_{\nu e \rightarrow \nu e}|M), \quad (5)$$

and that, assuming a gaussian approximation of the poisson-distributed data, the probability of the data spectrum given the model is proportional to:

$$P(N_{\nu e \rightarrow \nu e}|M) \propto e^{-\chi_M^2} \quad (6)$$

270 where χ_M^2 is the chi-square statistic comparing the observed electron energy distribution to
 271 that predicted by model M .

272 Fig. 8 shows the *a priori* probability distribution of the total number of neutrino-electron
 273 scattering events predicted in the simulation, obtained by randomly varying parameters of
 274 the simulation within their uncertainties 1000 times to create 1000 models or “universes”.
 275 The uncertainty on the prediction is set by the precision in the hadron production measure-

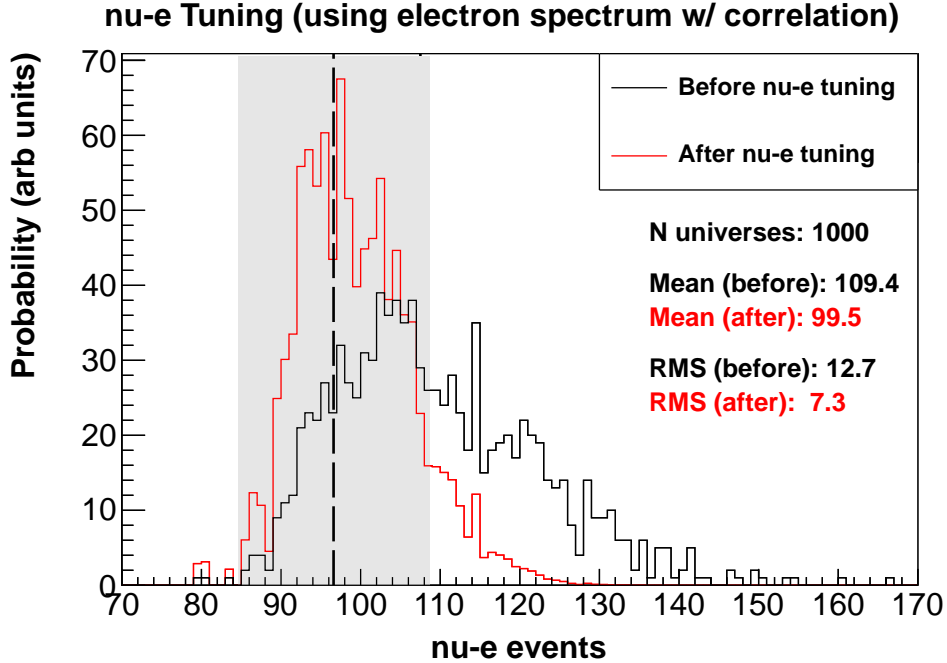


FIG. 8: The probability distribution (black) of the predicted number of neutrino-electron scattering events in the simulation given errors in the neutrino flux model and the modified probability distribution (red) given the observed electron energy spectrum.

276 ments, uncertainties in the beamline focusing system and alignment [34], and comparisons
 277 between different hadron production models in regions not covered by the NA49 or MIPP
 278 data.

279 For the case of electron-neutrino scattering, the only appreciably uncertain parameters
 280 in the simulation are those associated to the neutrino flux model. Also shown in Fig. 8 is
 281 the probability distribution given the observed neutrino-electron scattering spectrum, which
 282 is constructed by weighing the entry corresponding to a given universe by $e^{\chi_i^2}$, where the
 283 exponent evaluates the difference between the predicted neutrino-electron scattering energy
 284 spectrum in universe i and that observed in the data. The mean of the second, “constrained”,
 285 distribution is shifted down by 9% with respect to the original distribution, and the rms is
 286 reduced by approximately 40%. The same weights applied to produce this distribution can
 287 be used to constrain any other distribution predicted by the simulation. For example, the
 288 probability distribution of ν_μ flux integrated between 2 and 20 GeV before and after the
 289 constraint is shown in Fig. 9. The mean of this distribution is lowered by 8%, with ν_μ flux
 290 uncertainties as a function of neutrino energy modified as shown in Fig. 10. This method has

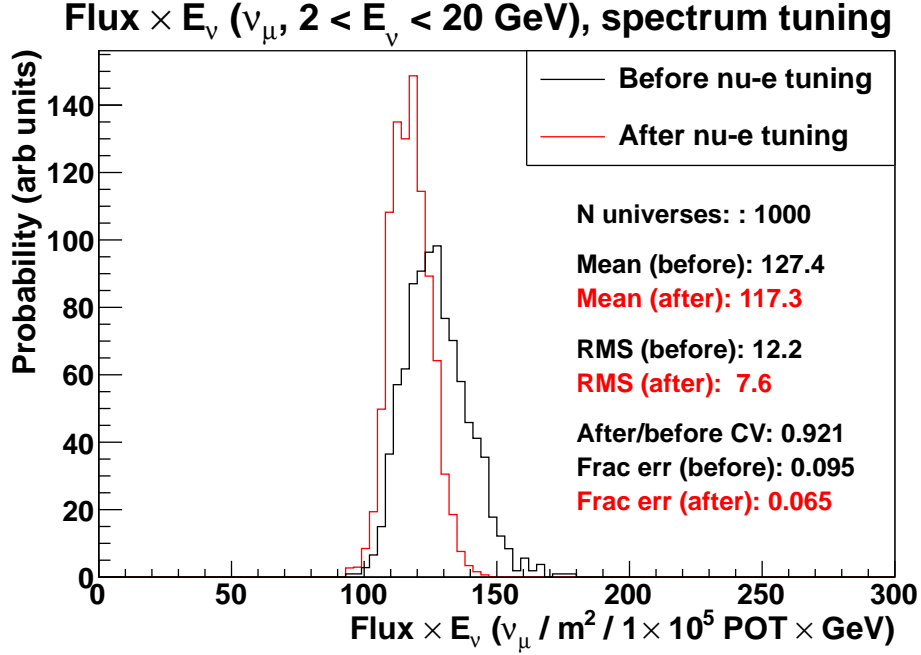


FIG. 9: The probability distribution (black) of the predicted ν_μ flux integrated between 2 and 20 GeV given errors in the neutrino flux model and the modified probability distribution (red) given the observed electron energy spectrum.

291 been applied to other MINERvA analyses [35], significantly reducing the flux uncertainties
 292 on those measurements.

293 This measurement can also be used by other experiments operating in the NuMI beam
 294 who use a similar multi-universe method of propagating neutrino flux uncertainties and
 295 who are able to produce a predicted number of neutrino-electron scattering events with an
 296 electron energy above 0.6 GeV in the fiducial mass and location given each of their simulated
 297 universes. That fiducial mass is centered at a point which is 1031.7 m from the upstream
 298 edge of the first focusing horn in the NuMI beamline, and is located 0.264 m (0.129 m) from
 299 the NuMI beam horizontal (vertical) axis. The fiducial mass, defined as 5.77 metric tons,
 300 corresponds to $1.98 \pm 0.03 \times 10^{30}$ electrons, spread through a volume which is 2.48 m long
 301 and a hexagon of apothem 88.125 cm, tilted downward with respect to the beam axis by
 302 58 mrad. Since the neutrino flux changes very little across this volume, the NuMI beam flux
 303 estimated at the center of this fiducial mass is a good approximation of the flux averaged
 304 over this volume (should we quantify this?).

305 This measurement is also an important proof of principle for a technique that could be

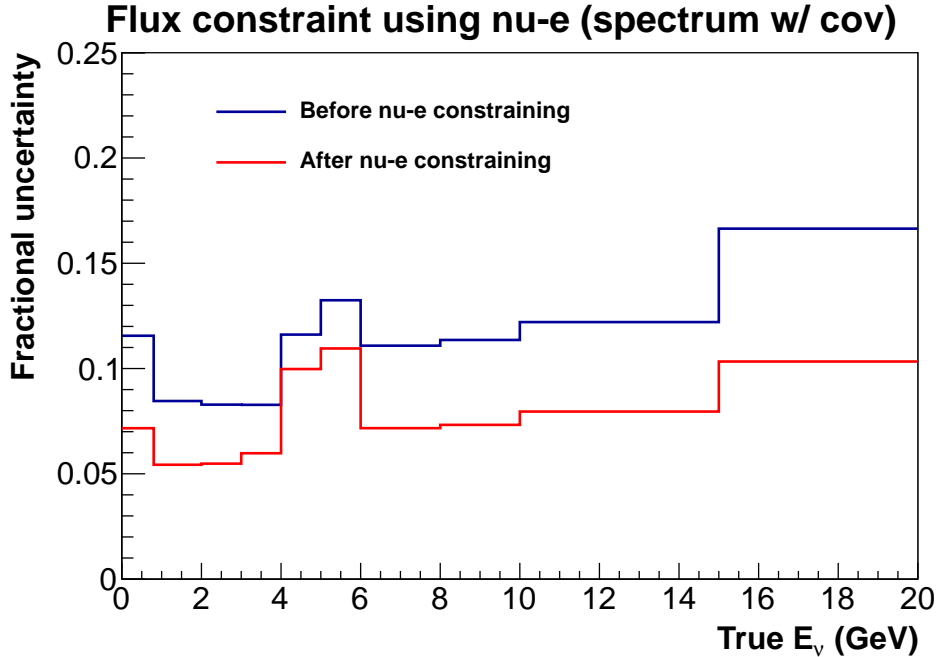


FIG. 10: The uncertainty on the predicted ν_μ flux before and after applying the $\nu e \rightarrow \nu e$ scattering constraint.

306 used for a future long baseline experiment, for example DUNE [36]. This measurement,
 307 because it involves scattering off electrons rather than nuclei, allows for any near detector
 308 technology with sufficient angular resolution and energy reconstruction to make a precise
 309 absolute flux prediction that for a few tons can achieve a measurement at the few per cent
 310 statistical precision, given the expected neutrino fluxes.

311 This work was supported by the Fermi National Accelerator Laboratory under US De-
 312 partment of Energy contract No. DE-AC02-07CH11359 which included the MINERvA con-
 313 struction project. Construction support also was granted by the United States National
 314 Science Foundation under Award PHY-0619727 and by the University of Rochester. Sup-
 315 port for participating scientists was provided by NSF and DOE (USA) by CAPES and CNPq
 316 (Brazil), by CoNaCyT (Mexico), by CONICYT (Chile), by CONCYTEC, DGI-PUCP and
 317 IDI/IGI-UNI (Peru), by Latin American Center for Physics (CLAF) and by RAS and the
 318 Russian Ministry of Education and Science (Russia). We thank the MINOS Collaboration
 319 for use of its near detector data. Finally, we thank the staff of Fermilab for support of the
 320 beamline and the detector.

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